Multicenter pilot study of radiochemotherapy as first-line treatment for adults with medulloblastoma (NOA-07)

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Abstract

Background. Medulloblastoma in adult patients is rare, with 0.6 cases per million. Prognosis depends on clinical factors and medulloblastoma entity. No prospective data on the feasibility of radiochemotherapy exist. The German Neuro-Oncology Working Group (NOA) performed a prospective descriptive multicenter single-arm phase II trial to evaluate feasibility and toxicity of radio-polychemotherapy.
Methods. The NOA-07 trial combined craniospinal irradiation with vincristine, followed by 8 cycles of cisplatin, lomustine, and vincristine. Adverse events, imaging and progression patterns, histological and genetic markers, health-related quality of life (HRQoL), and cognition were evaluated. Primary endpoint was the rate of toxicity-related treatment terminations after 4 chemotherapy cycles, and the toxicity profile. The feasibility goal was reached if at least 45% of patients received at least 4 cycles of maintenance chemotherapy.

Results. Thirty patients were evaluable. Each 50% showed classic and desmoplastic/nodular histology. Sixty-seven percent were classified into the sonic hedgehog (SHH) subgroup without TP53 alterations, 13% in wingless (WNT), and 17% in non-WNT/non-SHH. Four cycles of chemotherapy were feasible in the majority (n = 21; 70.0%). Hematological side effects and polyneuropathy were prevalent toxicities. During the active treatment period, HRQoL and verbal fluency improved significantly. The 3-year event-free survival rate was 66.6% at the time of databank lock.

Conclusions. Radio-polychemotherapy did lead to considerable toxicity and a high amount of dose reductions throughout the first 4 chemotherapy cycles that may affect efficacy. Thus, we propose frequent patient surveillance using this regimen. Modifications of the regimen may increase feasibility of radio-polychemotherapy of adult patients with medulloblastoma.

Key words
cognition | imaging | health-related quality of life | medulloblastoma | radiochemotherapy

Importance of the study

NOA-07 showed that combined radiochemotherapy followed by maintenance chemotherapy with cisplatin, lomustine, and vincristine is more toxic in adults than in children. This prospective descriptive trial constitutes a unique dataset that perpetuates the development of effective treatments in this curable population. The results have potential to influence or even change clinical practice. The NOA-07 protocol can be translated to the recommendation that adults with medulloblastoma should receive an attenuated radio-polychemotherapy regimen that considers the decreased feasibility of radio-polychemotherapy in adults in comparison to children. In addition, an attenuated NOA-07 regimen may serve as a basis for the standard arm in a subsequent trial. Current knowledge makes it likely that such a trial will ask a molecular question in its experimental arm and will lead into a molecularly stratified approach for adults with medulloblastoma.

Medulloblastoma is rare in adults. About 70% of cases occur in patients younger than 15 years. The US Surveillance, Epidemiology, and End Results database reports 0.6 cases per million in adults per year. Five-year overall survival (OS) depends on clinical prognostic factors and on histological entity and genetic subgroup and reaches 40%-90%. Long-term sequelae interfere with functioning in daily life.

Four genetic subgroups (wingless [WNT], sonic hedgehog [SHH], Group 3, and Group 4) defined by expression patterns and epigenetic signatures allow a reliable prognostication. The 2016 World Health Organization (WHO) classification developed this further to a combination of histological criteria with classic (60%-70% in adults), desmoplastic/nodular (25%-40%), extensive nodular (<5%), and large cell/anaplastic (10%-25%) entities and genetically defined groups. These consist of WNT-activated, SHH-activated, and TP53 wildtype, SHH-activated, and TP53 altered, and non-WNT/non-SHH (Groups 3 and 4). Patients with MYC/MYCN amplification and p53 mutation have an inferior prognosis. In adults, Group 3 does not exist.

In children, the introduction of chemotherapy combined with craniospinal radiotherapy improved survival however, it was associated with significant unwanted effects. The same regimen was retrospectively evaluated in a nonrandomized adult subcohort. Radiochemotherapy compared with radiotherapy alone improved event-free survival (EFS) (4-y EFS, 74% vs 47%; P = 0.027) and OS (4-y OS, 94% vs 81%; P = 0.035). Retrospective data and small prospective trials also suggested an impact of chemotherapy in adult patients.

NOA-07 prospectively evaluated toxicity-related treatment terminations after 4 cycles of adjuvant chemotherapy, as well as the toxicity profile in the first-line treatment of adult patients with medulloblastoma. Results of the active treatment period are presented here.
Materials and Methods

Treatment and Ethics

NOA-07 enrolled patients at 15 sites in Germany. The trial was approved by the ethics committee of the University of Regensburg (08-112-0058; substantial amendment of July 1, 2016). The trial was registered at ClinicalTrials.gov (NCT01614132).

All patients with age above 21 and Chang stage T1-4 and M0 or M1 as diagnosed locally at the trial sites were included. Treatment consisted of photon craniospinal irradiation (1.6 Gy/35.2 Gy, posterior fossa boost 1.8 Gy/55 Gy) in combination with vincristine (1.5 mg/m² weekly, cap at 2.0 mg), followed by a maximum of 8 six-weekly cycles of cisplatin (70.0 mg/m², day 1), lomustine (75.0 mg/m², day 1), and vincristine (1.5 g/m², cap at 2.0 mg, days 1, 8, 15). Treatment per protocol was at least one cycle of adjuvant chemotherapy (Supplementary Figure S1).

MR Imaging Classification

Craniospinal imaging patterns (MRI with T1-weighted [w] images, T1-w post gadolinium [Gd]-containing contrast agent; T2-w, fluid attenuated inversion recovery) were evaluated prospectively. Inclusion was based on the local (neuro)radiology report. All imaging was reviewed for localization, extent of resection, and progression.

Contrast enhancing and non-enhancing tumor volumes were calculated from voxel size $x^3$ multiplied by the number of target voxels using the IntelliSpace Portal (Philips Healthcare). Extent of resection was evaluated for the enhancing tumor volume on early postsurgical MRI (24 to 72 h postoperative). Tumor progression was assessed on follow-up craniospinal MRIs after radiotherapy, before starting adjuvant chemotherapy and in 12-weekly time intervals over 3 years, and 6-monthly intervals thereafter.

Chang Classification

Despite the fact that the Chang$^3$ classification was initially based on surgical reports and CT scans and that its prognostic value is doubtable, patients were classified according to Chang using MRI (condensed T1-w plus Gd and T2-w) criteria and results from lumbar punctures, with the aim to morphologically describe the study population.

Histological and Molecular Classification and CSF Assessment

All specimens were diagnosed locally and referenced by at least 2 experienced neuropathologists. After introduction of the 2016 WHO classification amendment, all specimens were reevaluated and an integrated diagnosis was reported. Immunohistochemistry was performed as described.

Genetic entities were defined using immunohistochemistry with antibodies against β-catenin, Yap1, p75 nerve growth factor receptor, Otx2, and p53. DNA was extracted and direct sequencing (Sanger) of exon 3 of catenin beta-1 was performed. Genome-wide copy number estimation and analysis of allelic distribution were performed by molecular inversion profiling and analyzed by Nexus Copy Number 2.0 Discovery Edition software (BioDiscovery). If minimal DNA was available, a multiplex ligation-dependent probe amplification was done.

Global DNA methylation profiling using the Illumina Human Methylation 450 Bead Chip array was performed. Medulloblastoma subgrouping was done as described. Genome-wide copy number profiles were generated using the “conumee” package (https://www.bioconductor.org/packages/release/bioc/html/conumee.html) in the R programming environment.

As meningeosis in adults with medulloblastoma is not well described, CSF punctures were done in all patients at the time of diagnosis and recommended every 3 months thereafter or if clinical symptoms occurred. CSF was referenced centrally.

Feasibility and Toxicity

Prospectively defined toxicity-related treatment terminations after 4 cycles of adjuvant chemotherapy and the toxicity profile were primary endpoints and prospectively documented.

Adverse events (using Common Toxicity Criteria v3.0) were evaluated and graded at every visit, and causality of treatment was assessed. Dose de-escalation rules are detailed in Supplementary Table S1. Severe adverse events were handled by a safety board following international guidelines. An external data safety monitoring board supervised the trial.

An arbitrary cutoff of 45 years was used in a post-hoc analysis to compare toxicity in younger versus older patients.

Efficacy

EFS, progression-free survival (PFS), and OS at 3 and 5 years were secondary endpoints and recorded at each site visit. EFS was defined as time from study inclusion to disease progression, death, or discontinuation of treatment. PFS was a combined imaging and clinical endpoint adapted to the Response Assessment in Neuro-Oncology criteria and defined as time from study inclusion to disease progression or death. OS was calculated from study inclusion to death.

Health-Related Quality of Life Evaluation

Health-related quality of life (HRQoL) was prospectively evaluated before radiochemotherapy, after radiochemotherapy, and each 3 months thereafter using the European Organisation for Research and Treatment of Cancer 30-item core quality of life questionnaire (QLQ-C30) and the 20-item QLG for brain neoplasm (QLQ-BN20). Role, cognitive, and social functioning were defined for the primary analyses to reduce errors from multiple testing. The other scales were analyzed on an exploratory basis. A difference in the mean value of HRQoL parameters of ≥10 points was set to be “clinically meaningful.”
Cognitive Testing

Cognition was prospectively evaluated at baseline before radiochemotherapy, after radiochemotherapy, and each 3 months thereafter. The Controlled Oral Word Association Test (COWA) was used to measure lexical verbal fluency. Semantic verbal fluency was measured by the Regensburger Wortflüssigkeitstest. Verbal working memory was measured with the digit span forward and backward from the German version of the Wechsler Adult Intelligence Scale–Revised. Trail Making Tests (Parts A and B) were used to measure complex visual perceptual tracking, planning, and flexibility. Raw scores were transformed into adjusted z-scores, and a mean z-score was calculated, indicating group performance. Performance not reaching a z-score of −1 was described as impaired. A healthy control population matched for age, gender, and education was used.

Statistical Analysis

In this prospective descriptive trial, a total of 30 patients was considered to be the minimum population to evaluate feasibility. The incidence of treatment terminations within the first 4 cycles of chemotherapy was determined as the primary endpoint to measure feasibility. Based on published data, we hypothesized that if the study population consisted of 30 patients and that fewer than 18 patients (60%) or maximally 17 patients (56.7%) would have to terminate treatment due to toxicity, the primary endpoint would be met (NQuery 4.0, Statistical Solutions).

All primary endpoint data are presented descriptively. The 3-year EFS/PFS rate was estimated by using binary proportions. PFS and OS analyses were performed using the Kaplan–Meier method. Correlations between data groups were evaluated by Spearman rank analysis. Comparative statistical analyses for rates and proportions were performed using chi-square analysis. For 2 group comparisons, Wilcoxon rank-sum tests were computed. The significance level was defined as P < 0.05 (Stata 14).

Results

Patient Characteristics

From 2009 to 2014, thirty-three patients were included at 15 German centers. Thirty patients were evaluable, and 25 of them (83.3%) were treated per protocol (Supplementary Figure S1). Median age was 37 (range, 21–53), the median KPS at inclusion was 90 (range, 50–100), and 77.0% of patients had a KPS of 90 or above at baseline (Table 1).

MR Imaging Classification

Most tumors were lateral/hemispheric (Table 1), and 6.7% of patients showed signs of leptomeningeal spread (Tables 1 and 2). M2 and M3 disease were only diagnosed during MRI reference evaluation. These patients were included in the study based on local evaluation. Enhancing tumor was completely resected in 15 patients (Supplementary Table 2). After combined radiochemotherapy, 73.3% of patients had a complete response (Supplementary Table S2). No patient progressed before starting adjuvant chemotherapy.

Chang Classification

Twenty-six of 30 tumors were classifiable, showing a mixed pattern (Table 2). If evaluations were based on local readings, the incidence of M2 and M3 disease was much lower (6.7% of patients; n = 2).
was not registered as a study site. Seventy percent of patients (n = 21) tolerated at least 4 cycles of chemotherapy, all of them with dose modifications. Therefore, the prespecified feasibility goal of more than 60% of patients receiving at least 4 cycles of maintenance chemotherapy was met.

One patient progressed after the fifth adjuvant cycle. All other patients were discontinued due to treatment-related toxicity or withdrawal of informed consent (Table 3). Seventy-seven of 155 cycles were given per protocol (47.9%) (Table 3 and Supplementary Figure S2A and B).

Leukopenia was the major toxicity. Polyneuropathy and ototoxicity were the only grade 3 or 4 nonhematological toxicities (Table 4). Six patients had grade 1, four patients grade 2, and 4 patients grade 3 polyneuropathy.

Events were also calculated as events per cycle and showed an increase of toxicity over treatment time (Table 4, Supplementary Table S3, and Supplementary Figure S2B). Treatment was terminated or dose intensity was reduced in almost 60% of patients at cycle 4 due to side effects (Supplementary Figure S2B). Of note, vincristine was stopped early on in a large number of patients, whereas lomustine and cisplatin were typically stopped later in the course of treatment. The number of severe adverse events per patient was highly variable (range 0–23).

Feasibility appeared to be age dependent. Post-hoc analyses showed that 72.7% of patients below age 45 received 4 cycles of chemotherapy, but only 62.5% of patients older than 45. Testing for all 8 adjuvant cycles revealed that 45.5% of all patients younger than 45 completed 8 cycles, whereas only 12.5% of patients over 45 years received all cycles. Severe adverse events were significantly more frequent in patients older than 45 years (P = 0.040). We observed no treatment-related deaths.

Health-Related Quality of Life

Compliance to HRQoL evaluation was >65% at most time points (Supplementary Table S4). Scoring was reduced (≥10 points) in role, cognitive, and social functioning directly postoperative, where role and social functioning were also significantly worse in comparison to a glioblastoma population (Supplementary Table S5). On a group level, role, cognitive, and social functioning improved over time (Figure 2). Similar results were found for the exploratory HRQoL items (Supplementary Figure S3).

Cognition

Compliance to cognition testing was 44.5%. On a group level, scores for working memory remained within normal limits. Attention was impaired at all time points, and visual perception was impaired at the first and the second measurement but returned to normal in the third measurement with a mean performance above $z = -1$. Lexical verbal fluency (COWA, n = 7, M1 = -1.8, M3 = -0.6, P < 0.05) and semantic verbal fluency (word naming, n = 7, M1 = -1.92, M3 = -0.42, P < 0.05) were impaired before radiochemotherapy but reached an average level of $z = -0.84$ and $z = -1.0$ in the third measurement, indicating improvement to normal (Supplementary Figure S4).
Efficacy

At databank lock (June 1, 2016), median follow-up was 58.0 months, and a total of 7 patients had relapsed, among them 1 patient with M3 disease (classic medulloblastoma, genetic entity non-WNT/non-SHH; no MYC/MYCN amplification or TP53 mutation), 1 patient with non-WNT/non-SHH M0, and 5 patients with SHH-activated tumors, none of them with p53 mutation or MYCN amplification (Table 1). Three patients died in the treatment phase, all from tumor-related complications.
Correlation Analysis

Only one of the non-WNT/non-SHH (Group 4) tumors was Chang M3 at diagnosis. Almost all tumors with a lateral localization in MRI were SHH activated (90.9%, \( P = 0.040 \)). Non-WNT/non-SHH activated tumors were lateral in 20% of cases, and not a single WNT-activated tumor was lateral (\( P = 0.01 \)). Both lateral location and SHH activation were associated with a higher proportion of complete resections (63.6% vs 36.8%, \( P = 0.018 \); and 45.3% vs 38.7%, \( P = 0.026 \)). Accordingly, after volumetric evaluation of MRI, complete tumor resections were more prevalent in the SHH-activated tumors (97.5% vs 86.9%, \( P = 0.024 \)). Relapses were more prevalent in the SHH-activated tumors (80% of recurrent cases were SHH vs 64% of nonrecurrent cases; \( P = 0.091 \)) (Supplementary Table S6).

Discussion

NOA-07 is a prospective descriptive trial to evaluate feasibility and toxicity of combined radio-polychemotherapy in adults with newly diagnosed medulloblastoma. The primary endpoint, the number of toxicity-related treatment terminations after 4 cycles of adjuvant chemotherapy, was justified retrospectively by upcoming data that suggest that a decreased number of treatment cycles is not decisive for PFS or OS in children with average-risk medulloblastoma. The regimen was feasible for at least 4 cycles of maintenance chemotherapy in 70.0% of patients. The prespecified study goal that more than 18 patients (60%) could be treated with at least 4 cycles of chemotherapy was therefore met. Considerable and increasing toxicity was observed, with polyneuropathy as the main non-hematological toxicity occurring early within treatment, and leukopenia and thrombocytopenia as the most prevalent hematological toxicities. Sixty-seven percent of patients went off-study due to toxicity under maintenance chemotherapy. We therefore conclude that the regimen induces more severe toxicity than in comparable pediatric trials.

Recent publications suggest that vincristine, which induces a high rate of neurotoxicity, may be fully deleted without endangering efficacy and that alternative agents may possibly replace vincristine during concomitant radiochemotherapy. In addition, an attenuated maintenance regimen may decrease toxicity while sustaining efficacy.

With adherence to strict de-escalation rules, toxicity was manageable. No unexpected severe adverse events were recorded. In a nonrandomized retrospectively evaluated cohort of young adults treated with the same regimen, 28 of 47 patients (59.6%) received the full number of 8 maintenance chemotherapy cycles. Median age was 37.0 years in our trial and 28.5 years in the other cohort. This difference in age may indicate decreased feasibility in older patients. Accordingly, feasibility was age dependent in NOA-07, with a higher rate of adverse and a significantly higher rate of severe adverse events in patients above age 45.

Long-term neurotoxicity is a major concern in radiochemotherapy regimens involving the brain. In a Canadian trial that focused on medulloblastoma patients...
in their second life decade, long-term ototoxicity and neurotoxicity of grade 2 or above occurred in 45.0% and 71.0% of patients. Long-term toxicity results of NOA-07 are lacking due to the short follow-up time of median 58.0 months and will be reported after all patients have been followed for 5 years.

HRQoL and cognition are important correlates of toxicity and long-term outcomes. During the active treatment phase of NOA-07, HRQoL and cognitive function improved. Long-term data will be supplemented by a social outcome analysis that will mainly focus on long-term social function.

Study results from Packer and coworkers indicate that radiotherapy plus concomitant and adjuvant chemotherapy is superior to radiotherapy alone in children.9,11,43 Patients in the Friedrich trial13 experienced a 4-year EFS of 68.0% and a 4-year OS of 89.0%, similar to premature data in NOA-07. A recent meta-analysis by Kocakaya et al showed that patients receiving chemotherapy first-line improved significantly longer (median OS: 108 mo, 95% CI: 68.6–148.0) than patients treated with radiotherapy alone (median OS: 57 mo, 95% CI: 39.6–74.4).44 Importantly, published data also show that the risk of recurrence appears to increase markedly with time.16 In conclusion, published evidence strongly indicates a role for combined radiochemotherapy for adults with medulloblastoma but also warrants long-term follow-up in this population. The NOA-07 protocol is the first trial that evaluated these questions prospectively.

We further analyzed MR imaging and histological and molecular patterns to detect unusual patterns on descriptive and correlative levels. Our results correspond well to published results.4,6,19,23,44 Of note, metastatic disease (Chang M1 to M3) was found in one third of patients during central review, but only 6.7% in local evaluations. This points to a strict central review strategy during diagnostic workup, as metastatic disease is connected to worse outcomes3 and may mandate adapted treatment strategies.

Reference analysis of medulloblastoma subgroups showed the expected histological and genetic distribution. The genetic pattern in WNT-activated adult patients in our dataset was different from that of children and might indicate a different biology and explain the worse prognosis.44 The SHH subgroup is highly overrepresented in adults, and SHH-activated tumors had a favorable outcome in infants and young children (5-y OS, 77.0%) compared with older children (5-y OS, 68.0%) and adults (5-y OS, 34.0%).6 In the NOA-07 trial, 5 of 7 early relapses were SHH activated. All SHH-activated tumors in our series represented the SHH-activated TP53 wildtype entity, none showed MYC/
**Fig. 2** HRQoL evaluation (main categories). Scores over time for the 3 preselected scales: (A) role functioning, (B) cognitive functioning, and (C) social functioning, with the number of patients with HRQoL data at each time point.
MYCN amplification, and the subgroup comprised a higher rate of complete resections and comparable dose intensities during radiochemotherapy.

Shortcomings of this trial are limited patient numbers, the distribution of patients to a large number of centers that may increase toxicity rates due to lower experience of the involved investigators, and the nonrandomized design. However, the trial was powered for feasibility and toxicity as its primary endpoint.

In summary, this prospective descriptive trial evaluated feasibility and toxicity of a radio-polychemotherapy regimen in a homogeneous cohort of intermediate prognostic adults with medulloblastoma, as well as imaging, histological and molecular parameters, HRQoL, cognition, and EFS, PFS, and OS outcomes. Long-term evaluations are ongoing. We conclude that combined radiochemotherapy is associated with considerable toxicity and mandates predefined tapering rules and dose modifications in the majority of patients. Modified regimen may increase feasibility of radio-polychemotherapy of adult patients with medulloblastoma.

Supplementary Material

Supplementary material is available at Neuro-Oncology online.

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Conflict of interest statement. None declared.

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