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New concepts for glioblastoma vaccine immunotherapy

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# 1 **New concepts for glioblastoma vaccine immunotherapy**

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## 20 **Highlights**

- 21 • Peptide vaccines for glioblastoma are immunogenic but clinically limited
  - 22 • Immune evasion and poor antigen presentation restrict vaccine efficacy
  - 23 • Restoring MHC II expression may enhance antitumor immunity
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## 47 **Abstract**

48

### 49 **Background**

50 Glioblastoma remains one of the most lethal malignancies, characterized by rapid recurrence,  
51 profound intratumoral heterogeneity, and a highly immunosuppressive microenvironment.

52 Among immunotherapeutic strategies, peptide-based vaccines have attracted attention for their  
53 safety, specificity, and capacity to elicit tumor-directed T cell responses. Over the past two decades,  
54 several platforms targeting tumor-associated or tumor-specific antigens, including EGFRvIII, WT1,  
55 and survivin, have advanced into clinical trials. While early-phase studies demonstrated  
56 immunogenicity and occasional survival benefits, phase III trials have largely failed to confirm  
57 durable efficacy, underscoring the challenges posed by the ongoing complexity of tumor evasion  
58 mechanisms among which down regulation of MHC (HLA) expression in tumor cells, lack or reduced  
59 tumor antigen expression and a suppressive tumor microenvironment certainly play a role.

### 60 **Main body**

61 As far as tumor antigens, recent insights also question the centrality of neoantigens, highlighting  
62 instead the immunogenicity of shared tumor-associated antigens, which may provide a more reliable  
63 foundation for broadly applicable vaccines in GBM. A major barrier to efficacy remains impaired  
64 antigen presentation, particularly the downregulation of MHC-II pathways. In this context, strategies  
65 leveraging the transcriptional activator CIITA to restore MHC-II expression hold promise both for  
66 reprogramming GBM cells into effective antigen-presenting cells and for the isolation of new families  
67 of MHC class II-bound peptides relevant for the triggering of tumor-specific CD4<sup>+</sup> T cells.

### 68 **Conclusion**

69 This new approach could pave the way for next-generation peptide vaccines, particularly when  
70 integrated with combinatorial modalities such as checkpoint inhibitors, myeloid-targeted therapies,  
71 or oncolytic viruses.

72

### 73 **Keywords**

74 Glioblastoma, immunovirology, immuno-oncology, peptide-vaccine, CIITA, MHC-II, oncolytic  
75 viruses

76

### 77 **Abbreviations**

78 Glioblastoma (GBM), blood-brain barrier (BBB), tumor microenvironment (TME), myeloid-derived  
79 suppressor cells (MDSCs), tumor-associated macrophages (TAMs), regulatory T lymphocytes  
80 (Tregs), immune checkpoint inhibitors (ICI), central nervous system (CNS), cerebrospinal fluid  
81 (CSF), Major histocompatibility complex II (MHC-II), prostaglandin E2 (PGE2), heme oxygenase-1  
82 (HO-1), indoleamine 2,3-dioxygenase (IDO), Colony Stimulating Factor 1 (CSF-1), Programmed  
83 death Ligand 1 (PD-L1), Fas ligand (FasL), cytotoxic T lymphocytes (CTL), T helper cells (TH),  
84 Class II Transactivator (CIITA), tumor-specific antigens (TSAs), tumor-associated antigens (TAAs),  
85 epidermal growth factor receptor (EGFR), Wilms tumor 1 (WT1), cytomegalovirus (CMV), antigen  
86 presenting cells (APCs), Dendritic cell (DC), lineage-specific antigens (LSAs), interferon- $\gamma$  (IFN- $\gamma$ ),  
87 Herpes Simplex virus 1 (HSV-1)

88

### 89 **Introduction**

90 Glioblastoma (GBM) is the most aggressive primary brain tumor in adults, accounting for  
91 approximately 80% of all malignant brain tumors [1]. The current standard of care includes maximal  
92 surgical resection followed by radiotherapy and adjuvant chemotherapy with temozolomide [2].  
93 Despite this multimodal approach, the median overall survival is approximately 15 months [3,4].  
94 Standard therapies not only fail to eradicate GBM but also impose selective pressures that result in  
95 the appearance of resistant clones, pronounced genetic variability and extensive intratumoral  
96 heterogeneity [5–8].

97 The therapeutic challenge posed by GBM is further worsened by the anatomical location of the tumor  
98 and by the presence of the blood-brain barrier (BBB), limiting the delivery of therapeutic compounds  
99 to the tumor site [9]. Moreover, GBM is characterized by a highly immunosuppressive tumor  
100 microenvironment (TME), which facilitates tumor progression [10]. Indeed, GBM cells actively  
101 recruit immunosuppressive myeloid-derived suppressor cells (MDSC), tumor-associated  
102 macrophages (TAMs), and regulatory T lymphocytes (Tregs), creating a niche that inhibits effective  
103 anti-tumor immune responses [11]. This underscores the urgent need for novel therapeutic strategies  
104 that could improve patient outcomes.

105 In recent years, the introduction of immunotherapeutic approaches, particularly the use of immune  
106 checkpoint inhibitors (ICI) has revolutionized the strategy of anti-tumor therapies, resulting in  
107 unprecedented success in treatment of certain tumors, such as melanoma and non-small cell lung  
108 cancer. However, translating these successes to GBM has proven exceptionally challenging. The poor  
109 outcome of ICI in GBM finds is largely attributable to the profoundly immunosuppressive tumor  
110 microenvironment. Within this milieu, several cellular populations, including Tregs, MDSC and  
111 TAM, as discussed in our review, play major roles, both in limiting the infiltration of anti-tumor T  
112 cells (including both T helper and CTL) as well as in suppressing their function [12]. The rather poor  
113 success of ICI in GBM was in part justified by the prevalent belief that the brain is an "immune-  
114 privileged" organ because of the BBB [13]. The concept of brain as immune privileged organ  
115 remained largely unchallenged until recently when it was discovered the existence of a central  
116 nervous system (CNS) lymphatic system within the meninges [14,15] as well as a local source of  
117 functional immune cells residing in the bone marrow of the skull that can be mobilized into the brain  
118 via cerebrospinal fluid (CSF) [16,17]. These findings have reinvigorated the rationale for  
119 immunotherapy in GBM. Moreover, recent advances in nanomedicine have enabled the development  
120 of nanoscale delivery systems aimed to better cross the BBB and enhance tumor targeting [12,18–  
121 20]. However, the ability of nanoparticles to effectively cross the BBB remains limited and depends  
122 on several factors, including ultrasound-mediated BBB disruption, access to GBM vasculature, the  
123 enhanced permeability and retention (EPR) effect, tumor heterogeneity and the optimization of  
124 targeting moieties or ligands used to modify nanosystems. In this review we will first summarize the  
125 evidence that make GBM particularly reluctant to therapies and then describe recent  
126 immunotherapeutic vaccination approaches among which one, based on the rescue of Major  
127 Histocompatibility Complex class II (MHC-II) gene expression in tumor cells, is in our opinion a  
128 very promising and innovative way to allow tumor cells themselves to become surrogate APCs with  
129 the consequent display of an unprecedented repertoire of tumor antigens for tumor-specific T cell  
130 scrutiny.

## 132 **The Immunosuppressive Tumor Microenvironment in GBM**

133 The immune microenvironment of GBM is a highly complex and dynamic system that critically  
134 modulates the effectiveness of immunotherapeutic interventions. GBM orchestrates a profoundly  
135 immunosuppressive milieu, enabling immune evasion and presenting a substantial barrier to the  
136 development of effective therapeutic strategies. GBM tumors actively recruit Tregs, MDSCs, and  
137 TAMs which secrete immunosuppressive cytokines like TGF- $\beta$ , IL-10, and prostaglandin E2 (PGE2),  
138 all of which collectively dampen anti-tumor immunity [21].

### 140 **Regulatory T cells (Tregs)**

141 Tregs contribute to immune evasion not only by promoting immunosuppressive cytokine production  
142 but also by directly attenuating anti-tumor immune responses [22]. Treg-mediated  
143 immunosuppression is partially associated to the expression of heme oxygenase-1 (HO-1), which has  
144 been shown to suppress T-cell proliferation and reduce sensitivity to apoptosis through inhibition of  
145 death receptor expression, thereby enhancing immune tolerance to tumor cells [23]. Moreover, Tregs  
146 contribute to tumor progression by promoting glioma stemness via the TGF- $\beta$ -NF- $\kappa$ B-IL-6-STAT3  
147 signalling axis, thereby enhancing tumor aggressiveness [24,25]. Clinical and experimental data also

148 indicate a positive correlation between glioma grade and Tregs infiltration. Glioblastomas (grade IV  
149 gliomas) exhibit the highest levels of FOXP3 expression and significantly elevated HO-1 mRNA  
150 levels in CD4<sup>+</sup>CD25<sup>+</sup> Tregs compared to lower-grade gliomas, highlighting a potential link between  
151 HO-1 activity and FOXP3-mediated immunosuppression during GBM progression [26]. As recently  
152 demonstrated by Salahlou and colleagues, Tregs further suppress the function of antigen-presenting  
153 cells (APCs) such as dendritic cells, and effector lymphocytes by upregulating immunosuppressive  
154 mediators such as IL-10, TGF- $\beta$ , and IDO, thereby reinforcing an immunosuppressive tumor milieu  
155 and posing a major obstacle to effective immunotherapy [27].  
156

### 157 **Myeloid-Derived Suppressor Cells (MDSCs)**

158 Importantly, the expansion and activation of Tregs in the GBM microenvironment are closely  
159 supported by MDSCs, which secrete high levels of immunosuppressive cytokines that not only  
160 promote Tregs induction but also inhibit cytotoxic immune responses. They produce various  
161 chemokines and growth factors, such as CCL3, CCL4, and CCL5, that actively recruit Tregs into the  
162 tumor microenvironment, further intensifying immunosuppression. Moreover, MDSCs release  
163 elevated levels of immunosuppressive cytokines and enzymes, including TNF- $\alpha$ , TGF- $\beta$ , IL-10, IL-  
164 12, and indoleamine 2,3-dioxygenase, which collectively inhibit anti-tumor immune responses and  
165 contribute to resistance against immunotherapeutic interventions [28,29]. In addition to their  
166 immunoregulatory functions, MDSCs contribute to GBM progression by promoting cancer stem cell  
167 phenotypes and facilitating epithelial-mesenchymal transition, thereby enhancing tumor invasiveness  
168 and dissemination. The expansion and recruitment of MDSCs into the tumor microenvironment is  
169 fostered by tumor-derived cytokines, including IL-6, IL-8, IL-10, Colony Stimulating Factor 1 (CSF-  
170 1), CCL2, CXCL2, PGE2, and TGF- $\beta$ . Additionally, hypoxic conditions within the tumor niche  
171 reprogram MDSCs metabolism toward fatty acid oxidation, thereby enhancing their  
172 immunosuppressive capacity [29,30]  
173

### 174 **Tumor-Associated Macrophages (TAMs)**

175 TAMs constitute a significant proportion of the GBM TME and are predominantly polarized into pro-  
176 tumorigenic M2-like macrophages that promote angiogenesis, suppress T cell responses, thus  
177 favouring immune evasion and tumor progression [31]. The primary TAM populations identified in  
178 GBM include resident CNS microglia and infiltrating bone marrow-derived monocytes. TAMs  
179 exhibit reduced expression of co-stimulatory molecules critical for lymphocyte activation, including  
180 CD40, CD80, and CD86, thereby limiting T cell priming [32]. Instead, these cells express high levels  
181 of immunosuppressive ligands such as Programmed Death Ligand 1 (PD-L1) and Fas ligand (FasL),  
182 further contributing to T cell exhaustion and apoptosis [33]. Additionally, in the context of GBM, the  
183 MHC-II-dependent antigen presentation of TAMs is impaired [34].  
184

### 185 **Loss or downregulation of MHC expression**

186 The immunosuppressive GBM microenvironment not only inhibits immune cell infiltration and  
187 function but also shapes tumor-intrinsic mechanisms that facilitate immune escape. One of the most  
188 prominent and clinically relevant of these mechanisms is the downregulation of MHC molecules  
189 which severely limits antigen presentation and T cell-mediated tumor recognition.

190 Indeed, the downregulation of MHC molecules is a hallmark of GBM's immune evasion strategy.  
191 Analysis of MHC-I antigens in GBM patients demonstrated that nearly 50% of cases exhibited a loss  
192 of HLA-A2 expression. Moreover, MHC-I antigen expression showed a positive correlation with  
193 tumor grade [35]. One study reported concurrent downregulation of co-stimulatory molecules  
194 alongside MHC-I antigen expression, both of which were directly associated with glioma grade [36].  
195 Moreover, mutations in the antigen presentation machinery, such as TAP1/2, or Tapasin result in  
196 marked decrease in peptide-loaded MHC-I expression to the cell surface [10,37,38]. In addition, it  
197 was reported that the long non-coding RNA LINC01232 is upregulated and increases the expression

198 of the transcription factor E2F2. E2F2 enhances NBR1 expression, which binds to MHC-I and  
199 mediates its ubiquitination and degradation through the autophagy-lysosome system [39].  
200 Besides the well-characterized downregulation of MHC-I molecules in GBM, MHC-II expression  
201 also appears to be frequently impaired, further dampening anti-tumor immune surveillance. In  
202 glioblastoma, alterations in the biosynthetic machinery necessary for MHC-II expression, particularly  
203 at the level of transcriptional regulation, may contribute to this deficiency [32].  
204 The widespread absence of MHC-II expression prevents efficient CD4<sup>+</sup> T cell priming and activation.  
205 This is particularly detrimental, since CD4<sup>+</sup> T cells provide essential “helper” support for CD8<sup>+</sup> T  
206 cells, sustaining their expansion, function, and memory. Thus, impaired MHC-II expression not only  
207 reduces CD4<sup>+</sup> effector responses but also compromises CTL activity. Importantly, defects in  
208 interferon- $\gamma$  (IFN- $\gamma$ ) signalling have also been reported in GBM, including alterations in JAK/STAT  
209 components [40]. As IFN- $\gamma$  is a master cytokine for upregulation of MHC class I and, most  
210 importantly, MHC-II expression, it derives that these changes blunt tumor ability to be recognized by  
211 T cells, further enforcing immune escape and limiting the efficacy of therapies that depend on IFN-  
212  $\gamma$ -mediated antigen presentation. The central role of IFN- $\gamma$  in controlling MHC-II expression  
213 converges on the regulation of CIITA, a non-DNA-binding coactivator that serves as the master  
214 transcriptional regulator of MHC-II genes [41–46]. Thus, defects in IFN- $\gamma$  signalling globally affect  
215 tumor antigen presentation and tumor specific T cell activation.  
216

## 217 **Present vaccination approaches in GBM**

### 218 **Peptide-Based Vaccines in GBM: Advantages and Challenges**

219 Cancer vaccines are designed to stimulate the adaptive immune system against tumor antigens and  
220 have emerged as an area of active investigation in GBM.  
221 Tumor antigens can be broadly divided into tumor-specific antigens (TSAs), also referred to as  
222 neoantigens, which arise from somatic mutations, and tumor-associated antigens (TAAs), which are  
223 non-mutated proteins aberrantly expressed in tumor cells but largely absent in normal tissues [47].  
224 TSAs are theoretically ideal targets due to their tumor exclusivity, yet their frequency in GBM is  
225 limited given its relatively low mutational burden. By contrast, TAAs such as epidermal growth factor  
226 receptor (EGFR), survivin, and Wilms tumor 1 (WT1) are more consistently expressed across  
227 patients, making them attractive targets for vaccine development. In addition, exogenous  
228 antigens derived from viral infections, most notably cytomegalovirus (CMV), have been identified in  
229 a subset of GBM tumors, offering another potential immunogenic source [48]. Vaccination strategies  
230 may exploit predefined antigens (shared antigens expressed in multiple patients or personalized  
231 antigens tailored to an individual’s tumor profile) or utilize “anonymous” approaches, where complex  
232 antigen mixtures such as tumor lysates or nucleic acids are presented by APCs. Upon vaccination,  
233 APCs process and display antigenic peptides via MHC molecules to T cells, initiating cytotoxic  
234 responses against tumor cells and ideally generating long-term memory to prevent recurrence. Due  
235 to their inability to intrinsically activate pattern recognition receptors or other innate immune  
236 pathways, peptide-based vaccines are typically formulated with immune adjuvants that provide the  
237 requisite costimulatory signals and cytokine milieu to APCs, thereby facilitating efficient priming  
238 and expansion of antigen-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells [49]. Several vaccine platforms have been  
239 explored in GBM, including peptide vaccines, dendritic cell (DC) vaccines, nucleic acid-based  
240 vaccines, and viral vector vaccines. Among these, peptide-based approaches have been most  
241 extensively studied, owing to their precision of antigen targeting, relative simplicity and safety of  
242 manufacturing, and the possibility of combining multiple epitopes to broaden immune coverage [27].  
243 Preclinical models also suggest that engineered peptide variants with optimized processing can  
244 synergize with checkpoint blockade, enhancing therapeutic efficacy [50].  
245 Over the past two decades, several clinical and preclinical studies have evaluated peptide-based  
246 vaccines in GBM. In table 1 the principal clinical trials are described. Peptide vaccines utilize  
247 synthetic peptides to mimic tumor-associated or tumor-specific epitopes, aiming to elicit primarily

248 cytotoxic T cell responses. Rindopepimut (CDX-110) is one of the most extensively studied peptide  
249 vaccines, targeting the EGFRvIII, a mutation found in a substantial proportion of GBM cases. Early  
250 Phase II trials reported robust immune activation and prolonged survival [51,52]. However, the Phase  
251 III ACT IV trial failed to demonstrate overall survival benefit in newly diagnosed patients.  
252 Subsequent analyses indicated that antigen loss and intratumoral heterogeneity contributed to the lack  
253 of durable benefit, highlighting a major challenge for predefined peptide-based vaccines [53].  
254 Similar findings were observed with peptide vaccines targeting WT1 (Table 1). The DSP-788  
255 vaccine, consisting of synthetic peptides derived from WT1, showed good tolerability in early-phase  
256 studies. A Phase II trial demonstrated safety and disease stabilization in recurrent GBM [54].  
257 However, a subsequent Phase III trial combining DSP-788 with bevacizumab did not improve clinical  
258 outcomes compared with bevacizumab alone (ClinicalTrials.gov identifier: NCT03149003;  
259 <https://clinicaltrials.gov/study/NCT03149003>). Other peptide vaccines, such as SurVaxM (targeting  
260 survivin), IMA950 (a multi-peptide platform), and the HSPPC-96 peptide complex, have shown  
261 safety and immunogenicity in early-phase trials [55–58].  
262 The negative results of clinical trials with Rindopepimut and DSP-7888 underscore several critical  
263 challenges: the rapid loss of target antigen under immune pressure (antigen escape), the profound  
264 intratumoral heterogeneity of GBM that limits the durability of single-antigen approaches, and the  
265 constraints of MHC restriction that reduce applicability across patients. Besides antigen loss, several  
266 additional factors may explain the limited success of current peptide vaccines in phase III clinical  
267 trials. Many vaccine formulations rely on a single or limited number of tumor-specific or tumor-  
268 associated epitopes that prevents the stimulation of a large repertoire of tumor-reactive lymphocytes.  
269 Furthermore, and of relevant importance, many peptide vaccine strategies do not adequately stimulate  
270 anti-tumor CD4<sup>+</sup> T helper cells. Vaccine formulations are often focused on peptides recognized by  
271 the terminal effectors CTL. However, CTLs cannot sustain long-term proliferation and functional  
272 persistence in the absence of adequate CD4<sup>+</sup> T helper cell support.  
273 Collectively, these lessons emphasize the necessity of multi-antigen vaccines and strategies to  
274 stabilize antigen presentation in order to achieve meaningful clinical impact.  
275 Personalized peptide vaccination strategies have also been developed, selecting epitopes based on  
276 patient MHC haplotype and tumor antigen profile. A Phase I trial in HLA-A24<sup>+</sup> patients  
277 demonstrated feasibility and antigen-specific immune responses [59]. With the advent of next  
278 generation sequencing, neoantigen vaccines have further expanded this approach. Proof-of-concept  
279 studies demonstrated induction of both CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses, with some peptides  
280 recognized by intratumoral lymphocytes and mediating tumor cell lysis [60,61].  
281 Recent large-scale analyses by Apavaloaei et al. have challenged the long-held assumption that  
282 mutated neoantigens represent the dominant actionable antigens in cancer. Their findings that only  
283 ~1% of tumor antigens are mutated TSAs (mTSAs), while the vast majority are shared (TAAs) or  
284 aberrantly expressed TAAs (aeTSAs), or lineage-specific antigens (LSAs) [62], sign a paradigm shift  
285 that if extrapolated to GBM, could be particularly relevant as this tumor is characterized by an  
286 inherently low mutational burden. Together, these lessons highlight the limitations of relying on  
287 narrow, individualized neoantigen-based approaches and instead point toward the possibility of  
288 targeting shared TAAs and physiologically presented peptides as the foundation for next-generation  
289 vaccine design. However, it should be noted that shared antigens do not necessarily guarantee safe or  
290 more effective therapeutic outcomes, as many TAAs are subject to immune tolerance and may also  
291 be expressed in normal tissues, thereby posing potential off-target risks.

### 292 293 **Dendritic cell vaccines (DC vaccines)**

294 DCs are professional APC capable of priming both CD4<sup>+</sup> and CD8<sup>+</sup> T cells, making them attractive  
295 for vaccine development. DC vaccines are generated by harvesting autologous DCs from patients,  
296 loading them *ex vivo* with tumor antigens (peptides, RNA/DNA, or tumor lysates), and reinfusing  
297 them to induce antitumor immunity [63]. In GBM, ICT-107 is a well-studied autologous DC  
298 vaccine pulsed with six synthetic peptides restricted to HLA-A1 and HLA-A2 alleles (Table 2). In a

299 randomized Phase II trial, ICT-107 was well tolerated and associated with a modest but significant  
300 improvement in progression-free survival, although overall survival benefit did not reach statistical  
301 significance in the intent-to-treat population [64,65]

302 The most advanced DC vaccine is DCVax-L, an autologous lysate-pulsed DC product. In a large  
303 Phase III trial (NCT00045968), DCVax-L treatment was associated with limited improved overall  
304 survival compared with external controls, both in newly diagnosed (19.3 vs. 16.5 months) and  
305 recurrent GBM (13.2 vs. 7.8 months) patients. However, interpretation is complicated by crossover  
306 design and methodological limitations [66,67]. Other platforms, such as CMV-DCs, have also been  
307 tested, leveraging the presence of viral antigens in GBM to induce strong T cell responses, though  
308 these remain in early-phase trials [68] (Table 2).

309 In consideration of the above results, it appears that present DC-based strategies face a series of  
310 limitations ranging from the use of a single or poorly immunogenic antigens, the fact that the peptides  
311 loaded onto DCs are typically predicted through computational algorithms and may not accurately  
312 reflect the repertoire of antigens naturally processed and presented by tumor cells, the choice of the  
313 optimal route of administration that may greatly affect DC vaccine efficacy [69].

314 Whatever the reason, the efficacy of DC vaccination will ultimately be dependent on efficient antigen  
315 presentation. Given the frequent downregulation of MHC-II in GBM, and the emerging role of CIITA  
316 in restoring this pathway, combinatorial strategies that couple peptide vaccination with CIITA-  
317 mediated MHC-II induction may provide a way to overcome current limitations (see below).

318

### 319 **Changing the paradigm: using CIITA to make GBM cells APCs of their own tumor antigens**

320 As mentioned above, CIITA is the major regulator of MHC-II gene expression and its own expression  
321 can be induced in classical APCs by IFN- $\gamma$  through two distinct CIITA promoters designated pIII and  
322 pIV.

323 Interestingly, GBM cells have been shown to upregulate CIITA upon IFN- $\gamma$  stimulation, utilizing  
324 both pIV and pIII promoters. This dual promoter usage suggests a degree of transcriptional plasticity  
325 in glioma cells, enabling them to potentially acquire APC-like functions under inflammatory  
326 conditions. Indeed, *in vitro* and *in vivo* analyses demonstrated that GBM cells can process and present  
327 native antigens to CD4<sup>+</sup> MHC-II-restricted Th1 cells. This capability implies that, when  
328 appropriately stimulated, GBM cells may not be entirely invisible to CD4<sup>+</sup> T cell surveillance, though  
329 this potential is often suppressed in the native tumor microenvironment [70,71]. Moreover, emerging  
330 transcriptomic analyses support the existence of GBM subpopulations with elevated expression  
331 of APC-related genes, including HLA-DRA, CD74, CD80, CD86, and CIITA. These APC-high  
332 states correlate with reduced stemness signatures, enhanced inflammatory signalling, and a  
333 distinct metabolic reprogramming profile characterized by decreased oxidative phosphorylation and  
334 increased lipid/steroid metabolism. These features may reflect a more differentiated tumor cell  
335 phenotype, which is also more immunogenically "visible" and potentially responsive to immune-  
336 based therapies [72]. Elevated CIITA expression and MHC-II activity in these subpopulations could  
337 serve as biomarkers for increased CD4<sup>+</sup> T cell engagement and better therapeutic responsiveness,  
338 particularly in the context of peptide-based vaccination strategies that rely on robust TH cell  
339 activation. Experimental studies in animal models have demonstrated the potential of CIITA-based  
340 approaches to render tumor cells immunogenic *in vivo* and to reprogram the immune landscape within  
341 the tumor microenvironment. Notably, CIITA expression enables tumor cells to function as surrogate  
342 antigen-presenting cells, capable of priming naïve, tumor-specific CD4<sup>+</sup> T cells [73–76]. In  
343 glioblastoma specifically, CIITA-transfected GBM cells have shown delayed growth or complete  
344 rejection *in vivo*, associated with increased infiltration of both CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes into  
345 the tumor bed [74,77]. These effects suggest an important role of CIITA in breaking immune  
346 tolerance in the GBM microenvironment. We emphasize that, in our experience with numerous tumor  
347 animal models including both carcinomas and sarcomas, we have never observed pathological signs  
348 of autoimmunity in animals injected with CIITA-modified tumor cells, even after prolonged  
349 observation periods (for a review see [78]). Similarly, the induction of T cell anergy was not detected.

350 This is supported by the observation that animals rejecting CIITA-expressing tumors remained  
351 resistant when subsequently challenged with parental tumor cells. More importantly, spleen cells  
352 isolated from these immune animals were able to transfer long-lasting protection against the parental  
353 tumor when injected into naïve recipients. Therefore, although potential risks cannot be entirely  
354 excluded when translating this strategy to the clinical setting, our findings provide strong evidence  
355 that this approach has a solid safety basis and merits careful consideration for therapeutic application.  
356 One particularly important outcome of these strategies has been the identification of a broad  
357 repertoire of tumor-specific, immunogenic peptides presented on MHC-II molecules, which may  
358 serve as candidate components for personalized tumor vaccines [79]. Notably, our group has recently  
359 shown that vaccination with CIITA-modified GBM cells protects mice not only against rechallenge  
360 with the same tumor, but also against a different, unmodified GBM tumor line. This cross-protection  
361 implies the presence of shared immunogenic peptides between distinct GBM models, supporting the  
362 concept that CIITA-based strategies may uncover relevant tumor antigens across patients [80].  
363 Altogether, these findings support the therapeutic rationale for modulating CIITA expression and  
364 restoring MHC-II functionality in GBM. By reprogramming GBM cells into surrogate professional  
365 APCs, through CIITA gene delivery may thus represent a novel strategy to facilitate CD4<sup>+</sup> T cell  
366 priming, and ultimately enhance the efficacy of peptide-based immunotherapy. Beyond facilitating  
367 the discovery of physiologically relevant MHC-II restricted peptides for novel vaccine formulations,  
368 CIITA-mediated MHC-II expression in tumor cells provides a means to enhance the tumor's  
369 immunogenicity and to potentially synergize with other immune-based treatment strategies such as  
370 checkpoint blockade or oncolytic virotherapy.

## 371 **Conclusions**

372 Glioblastoma remains a formidable therapeutic challenge, primarily due to its immunosuppressive  
373 microenvironment, intratumoral heterogeneity, and impaired antigen presentation. The frequent  
374 downregulation of MHC-I and MHC-II limits effective CD8<sup>+</sup> and CD4<sup>+</sup> T cell responses, constraining  
375 the efficacy of immunotherapies, including peptide vaccines. CIITA-mediated restoration of MHC-  
376 II not only reprograms GBM cells into functional surrogate antigen-presenting cells but, importantly,  
377 enables the identification of a wide repertoire MHC-II-restricted immunogenic peptides (Figure 1)  
378 (Table 3). These peptides, particularly those shared across patients, provide a translationally feasible  
379 avenue for developing broadly applicable peptide vaccines (Figure 2). While peptide vaccines have  
380 demonstrated safety and immunogenicity, clinical efficacy has been modest due to antigen escape,  
381 MHC restriction, and immune suppression. In this context, CIITA-mediated strategies may represent  
382 a turning point. While ICIs have shown limited efficacy as monotherapies in GBM, increasing  
383 evidence indicates that their activity critically depends on the presence of a pre-existing tumour-  
384 specific T-cell response. We therefore hypothesise that CIITA-driven MHC-II-restricted peptide  
385 vaccination can provide the critical immune priming required to convert ICI-refractory GBM into  
386 ICI-responsive tumours, thereby overcoming a major barrier to the clinical success of immune  
387 checkpoint inhibition in this disease. A similar rationale may apply to combination immunotherapy  
388 involving MHC-II-restricted peptide vaccination and radiotherapy as the latter procedure has been  
389 shown to release potentially relevant tumor antigens following tumor cell necrosis that could sensitize  
390 tumor-specific T cells which then could be further rescued by peptide vaccination.

391 Recently, it has become apparent that oncolytic virotherapy may have an important application also  
392 in the contest of GBM (reviewed in Rahman and McFadden, 2021)[81] in which the oncolytic action  
393 is coupled with an increase of immune activation [82] Indeed, we have recently demonstrated in an  
394 orthotopic animal model of GBM that the intratumoral administration of an Herpes Simplex (HSV-  
395 1) oncolytic virus results not only in rejection or strong retardation in tumor growth but also, and  
396 importantly, in the acquisition of protective anti-tumor memory response capable to counteract a  
397 second challenge of the tumor [83]. Thus, inserting CIITA into an oncolytic viral vector such as HSV-  
398 1 may result in an optimal tool to increase even more the immune response to GBM by coupling the  
399 oncolytic effect with an optimal presentation of relevant immunogenic tumor antigens (Figure 3).  
400

401 Integrated approaches taking advantage of the acquired knowledge of using CIITA as an immune  
402 response inducer and/or amplifier of adaptive immunity provides a roadmap for overcoming current  
403 limitations in GBM immunotherapy, bridging mechanistic insight with actionable therapeutic  
404 strategies.

405  
406

#### 407 **Authors' contributions**

408 M. **Shallak**: writing-review and editing; A.K.B **Shaik**: writing review and editing A. **Gatta**:  
409 editing; M. **Volpi**: editing; R.S. **Accolla**: Conceptualization, writing-original draft, writing-review  
410 and editing; G. **Forlani**: Conceptualization, Resources, writing-original draft, writing-review and  
411 editing. All authors read and approved the final version of the manuscript.

412

#### 413 **Conflict of interest statement**

414 All authors have read the journal's policy on disclosure of potential conflicts of interest and all authors  
415 declare that don't have any financial or personal relationship with organizations that could potentially  
416 be perceived as influencing the described research

417

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## 691 Figure legends

692 **Figure 1: Mechanistic model of tumor immune evasion through MHC or antigen loss and**  
 693 **restoration of antigen presentation via CIITA.** Schematic representation of tumor immune escape  
 694 mediated by loss or downregulation of tumor antigens and/or major histocompatibility complex  
 695 (MHC) molecules, leading to impaired antigen presentation and reduced T-cell recognition.  
 696 Expression of the class II transactivator (CIITA) restores antigen presentation pathways, enabling

697 display of tumor-derived peptides and promoting CD4<sup>+</sup>T-cell-mediated immune recognition. Created  
698 in <https://BioRender.com>

699 **Figure 2: GBM-CIITA cells expressing functional MHC class II molecules provide a novel**  
700 **source of MHC-II-restricted tumor peptides for anti-tumor vaccine development.**  
701 Patient-derived GBM cells are typically MHC-II-negative and show low MHC-I surface expression.  
702 CIITA transduction restores MHC-II expression, enabling presentation of previously hidden tumor-  
703 specific peptides. Peptides eluted from MHC-I and MHC-II complexes can be purified, selected for  
704 tumor specificity, and combined to generate therapeutic vaccine candidates for clinical testing in  
705 cancer patients. Created in <https://BioRender.com>

706 **Figure 3: Treatment of GBM with OV-CIITA constructs can amplify anti-tumor recognition**  
707 **and cell death.** Intratumoral injection of an oncolytic virus carrying the CIITA gene (OV-CIITA)  
708 induces MHC-II expression in GBM cells, promoting tumor antigen presentation and activation of  
709 CD4<sup>+</sup> and CD8<sup>+</sup> T cells, leading to enhanced antitumor immunity and GBM cell killing. Created in  
710 <https://BioRender.com>

**Table 1. Most relevant clinical Trials of Peptide Vaccines in Glioblastoma**

Vaccine	Target Antigen	Trial Phase	Patient Population	Key Outcomes	Limitations
Rindopepimut (CDX-110) [51-53]	EGFRvIII	Phase II → Phase III (ACT IV, NCT01480479)	Newly diagnosed GBM	Phase II: immune activation and prolonged PFS; Phase III: no OS benefit (mOS 20.1 vs. 20.0 mo)	Antigen loss, intratumoral heterogeneity
DSP-7888 [54]	WT1	Phase I–III (NCT03149003)	Recurrent/progressive GBM	Safe, well tolerated; Phase III with bevacizumab: no OS improvement	High WT1 expression required, modest efficacy
SurVaxM [55]	Survivin	Phase I–II (NCT02455557)	Newly diagnosed GBM	mPFS 11.4 mo, mOS 25.9 mo vs. historical controls	Small cohorts, ongoing phase IIb
IMA950 [58]	Multi-peptide (shared TAAs)	Phase I/II (NCT01920191)	Newly diagnosed GBM	PFS rates were 74% at 6 mo and 31% at 9 mo. Induced multi-epitope T cell responses	No OS improvement
HSPPC-96 [59]	TSA	Phase II (NCT00293423)	Recurrent GBM	mOS 9.9 mo	No OS improvement
Personalized vaccine (GAPVAC-101) [60]	TSA	Phase I (NCT02149225)	Newly diagnosed GBM	mPFS 14.2 mo, mOS 29 mo. Feasible, immunogenic, induced CD4/CD8 memory	Limited efficacy, variability in neoantigen expression
Personalized vaccine [61]	TSA	Phase I (NCT02287428)	Newly diagnosed personalized vaccines MGMT unmethylated	mPFS 7.6 mo, mOS 16.8 mo. Feasible, immunogenic, induced CD4/CD8 memory	Limited efficacy, variability in neoantigen expression

Median progression-free survival (mPSF); Median overall survival (mOS); months (mo). References are indicated by numbers in square brackets.

**Table 2. Most relevant clinical Trials of Dendritic Cell Vaccines in Glioblastoma**

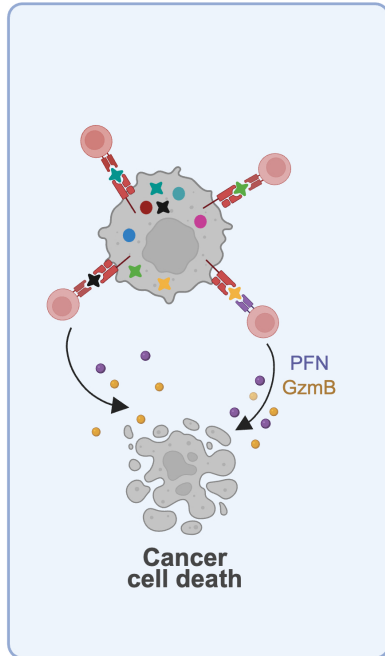
Vaccine	Antigen Source/Target	Trial Phase	Patient Population	Key Outcomes	Limitations
ICT-107 [65]	Six synthetic peptides (HLA-A1/A2 restricted: AIM2, MAGE1, TRP-2, gp100, HER2, IL13R $\alpha$ 2)	Phase II (NCT01280552)	Newly diagnosed GBM	Median OS 18.3 mo vs. 16.7 mo in control; PFS benefit in HLA-A2+ subgroup	Benefit limited to subgroups, small sample size, lack of phase III confirmation
DCVax-L [66,67]	Autologous tumor lysate	Phase III (NCT00045968)	Newly diagnosed GBM and recurrent GBM	Median OS 19.3 vs. 16.5 mo in newly diagnosed. 13.2 vs. 7.8 mo in recurrent GBM. ~23.1 mo vs. ~15-17 mo in external controls; long-term survivors observed	No randomized control arm; external control group introduces bias
CMV-DC [68]	CMV-pp65	Phase II (NCT00639639)	Newly diagnosed GBM	Median OS ~37.7-38.3 mo vs. ~14.6-20.9 mo, in controls receiving standard of care and adjuvant therapy. Safe, immunogenic; Induction a CMV-specific CD8+ T-cell response	Improve survival compared to standard of care

Median progression-free survival (mPSF); Median overall survival (mOS); months (mo). References are indicated by numbers in square brackets.

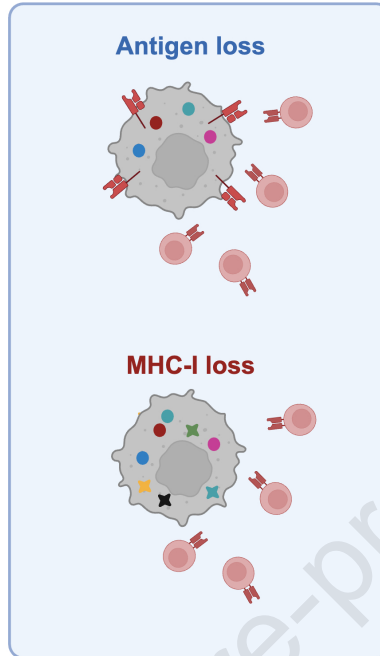
**Table 3. Comparison of vaccine strategies in GBM**

<b>Strategy</b>	<b>Strengths</b>	<b>Limitations</b>	<b>Translational impact</b>
Peptide vaccines	<ul style="list-style-type: none"> <li>- Simple, safe, well tolerated</li> <li>- Can be personalized (HLA-matched, neoantigens)</li> <li>- Proven immunogenicity</li> </ul>	<ul style="list-style-type: none"> <li>- Limited by HLA restriction</li> <li>- Antigen escape &amp; tumor heterogeneity</li> <li>- Mostly modest clinical efficacy</li> </ul>	Useful as backbone for personalized vaccines; need improved antigen selection and combination with checkpoint blockade
Dendritic cell vaccines	<ul style="list-style-type: none"> <li>- DCs are potent APCs</li> <li>- Can present multiple antigens simultaneously</li> <li>- Induce both CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses</li> </ul>	<ul style="list-style-type: none"> <li>- Labor-intensive, costly manufacturing</li> <li>- Limited efficacy in trials (e.g. DCVax-L, ICT-107)</li> <li>- Methodological concerns (external controls, selection bias)</li> </ul>	Proof of concept for ex vivo antigen loading, but limited scalability and unclear clinical benefit
CIITA-based strategies	<ul style="list-style-type: none"> <li>- Restore MHC-II expression on GBM cells</li> <li>- Allow direct identification of naturally presented HLA-II ligands</li> <li>- Prime CD4<sup>+</sup> T cells, sustaining CTL activity</li> <li>- CIITA exerts intrinsic tumor-suppressive effects</li> <li>- Can be combined with viral/nanoparticle delivery or oncolytic viruses (e.g. HSV/T-VEC)</li> </ul>	<ul style="list-style-type: none"> <li>- Still preclinical/early translational stage</li> <li>- Requires optimization of delivery systems and safety validation</li> </ul>	Provides a next-generation platform: identifies shared, physiologically relevant peptides for vaccine design and reprograms tumor immunogenicity

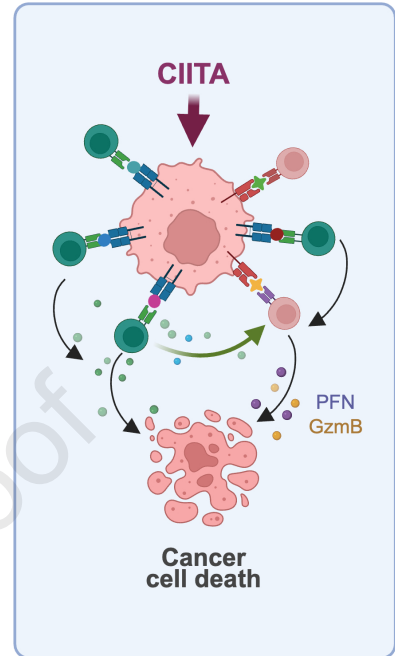
**IMMUNE  
RECOGNITION**

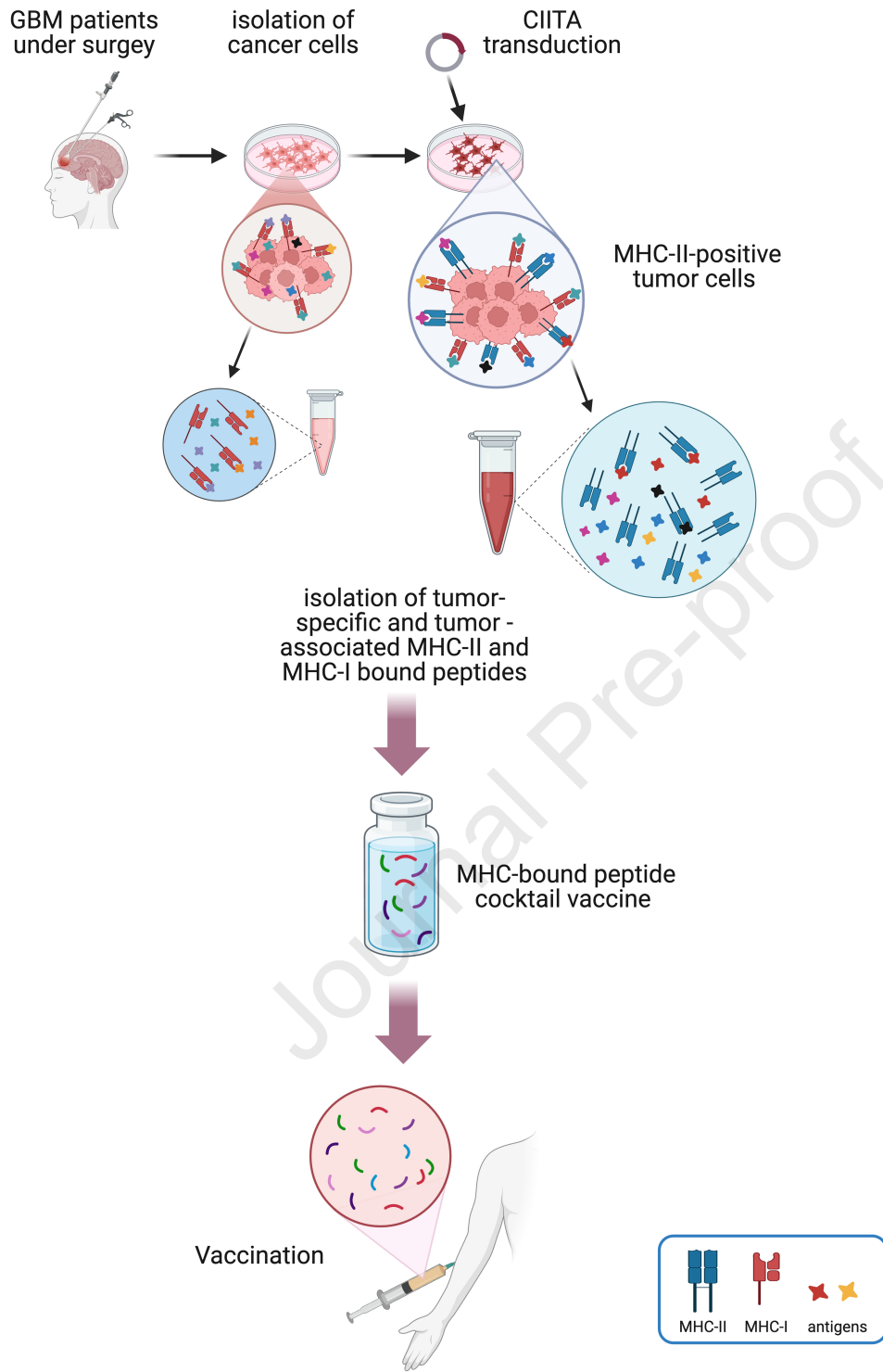


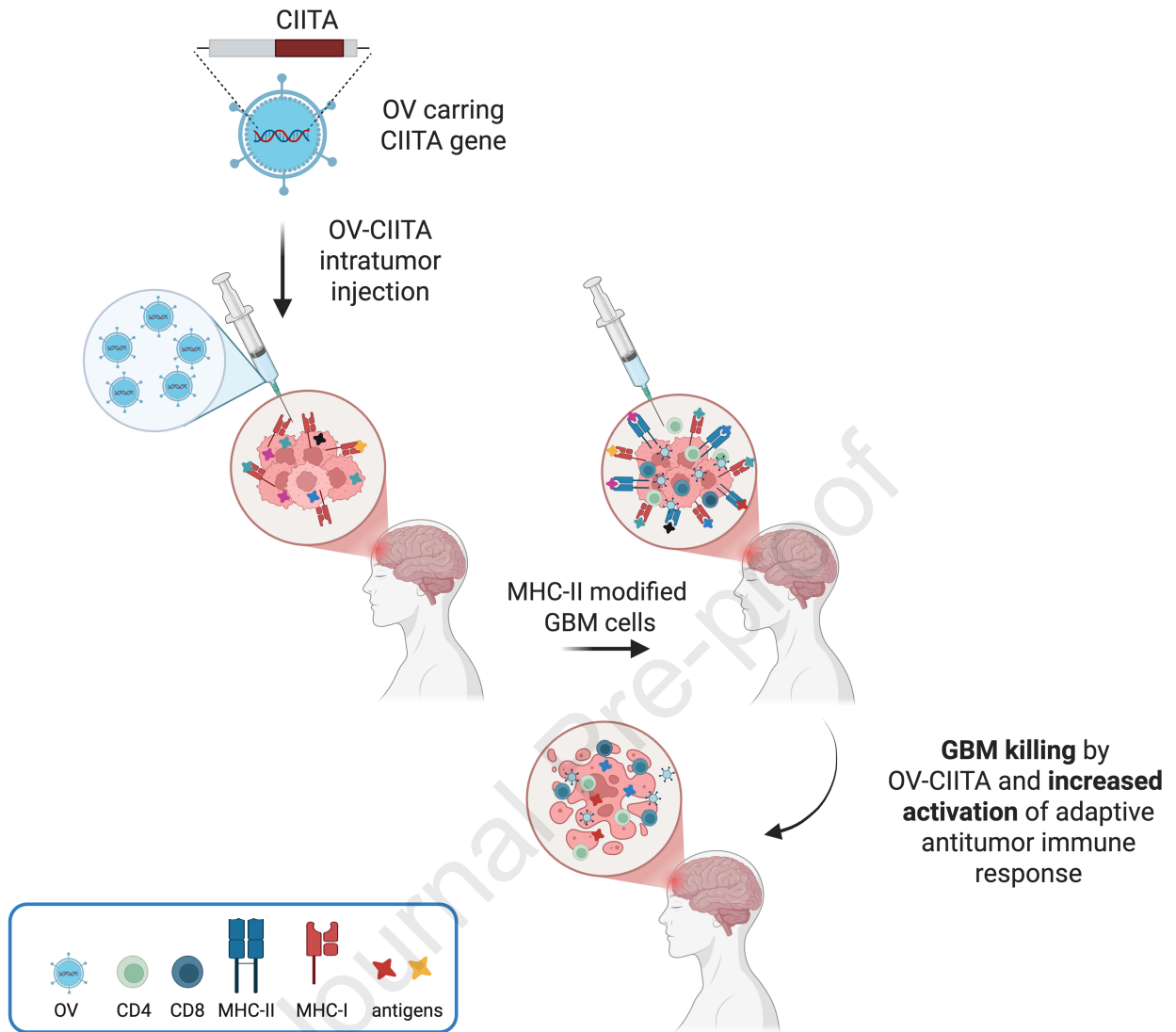
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**CIITA-MEDIATED  
RESCUE**







1 **Highlights**

- 2 • Peptide vaccines for glioblastoma are immunogenic but clinically limited  
3 • Immune evasion and poor antigen presentation restrict vaccine efficacy  
4 • Restoring MHC II expression may enhance antitumor immunity

Journal Pre-proof

Biosketch Prof. R.S. Accolla



Prof. Roberto S. Accolla received his MD degree cum laude at the University of Rome “La Sapienza” in 1974 and his PhD in General Pathology at the same University in 1977. From 1975-1976 he was visiting scientist at the University of Pennsylvania, Department of Pathology, working on B cell immunology and mechanism of idiotype suppression. From 1977 to 1988 he was Associate Member of the Ludwig Institute for Cancer Research in Lausanne, Switzerland. From 1988 to 1994 he was Associate Professor of Immunology at the Faculty of Medicine, University of Verona, Italy, and from 1995 to present Full Professor and Emeritus (2019) of General Pathology and Immunology at the School of Medicine, University of Insubria, Varese, Italy.

Prof. Accolla has dedicated a large part of his scientific career to Tumor Immunology and Immunogenetics. He was the first to isolate and describe monoclonal antibodies against the carcinoembryonic antigen (CEA) (Accolla et al., 1980, *Proc. Natl. Acad. Sci. USA*, 77:563) which served for studies of tumor immunolocalization *in vivo* in cancer patients. As immunogeneticist, he investigated for many years the structure and regulation of MHC gene expression. He was among the first to biochemically characterize the molecular heterogeneity and polymorphism of the human MHC class II molecular pool (Accolla, 1984, *J.Exp.Med*, 159:378).

One of his most important achievements was the discovery of the *AIR-1* locus encoding the major regulator of MHC class II gene transcription (designated also CIITA, class II transcriptional activator) (Accolla et al., 1986, *J.Exp.Med*, 164:369; Latron et al. 1988, *Proc. Natl. Acad. Sci. USA*, 85: 2229; Sartoris et al., 1990, *J.Immunol.* 145:1960).

Over the last two decades, Prof. Accolla became particularly interested in oncogenic retroviruses. By analysing host-virus interactions, he discovered a novel mechanism of inhibition of HTLV-1 and HTLV-2 replication due to CIITA and based on the block by CIITA of the function of HTLV Tax-1 and Tax-2 transactivators (Tosi et al., 2006, *Proc. Natl. Acad. Sci. USA*, 103: 12861; Tosi et al., 2011, *J. Virol.*, 85:10719; Forlani et al. 2016, *J Virol.* 90:3708). These observations led to the conclusion that CIITA is indeed a novel type of “restriction factor” counteracting human oncogenic retrovirus replication and spreading.

The extended analysis of HTLV-1 infection, particularly in the disease states associated to it, namely the chronic inflammatory disorder of the nervous system designated HAM/TSP (HTLV-1-Associated Myelopathy/Tropical Spastic Paraparesis) and the Adult T-cell Leukemia (ATL), has led more recently to the demonstration that the progressive redistribution from cytoplasm to nucleus of the viral protein HBZ is a marker of disease progression toward ATL (Raval et al., 2015, *Retrovirology* 12:59; Baratella et al., 2017, *PLoS NTD* 11 (1): e0005285; Forlani et al., *Front Microbiol.* 2019, 10: 819; Forlani et al., 2021, *Haematologica* 106: 2076). Whether this distinct subcellular localization implies also a causative intervention of HBZ in the neoplastic transformation is at present actively investigated.

Prof. Accolla research interest remains focused also on translational Tumor Immunology. After his original observation that transfection of CIITA in tumor cells from solid tumors not only induced MHC class II expression but also conferred to these cells antigen processing and presentation capacity *in vitro* (Sartoris et al., 1998 *J.Immunol*, 26: 2456), the idea was developed that genetically engineered CIITA-expressing tumor cells might serve as surrogate antigen presenting cells for their own tumor antigens to stimulate CD4<sup>+</sup> T helper cells (TH), the key lymphocyte initiating the anti-tumor immune response. The basic principles of this approach have received confirmation in a series of studies in animal models (Meazza et al., 2003, *Eur.J.Immunol*. 33:1183; Mortara et al., 2006 *Clin. Cancer Res*, 12:3435; Frangione et al., 2010 *Int. J. Cancer* 127:1614). These studies have also demonstrated that anti-tumor immunity is specific, long lasting and can be transferred to naive recipients with primed TH cells. Recently, the final demonstration that CIITA-driven MHC class II expressing tumor cells are indeed the major APC *in vivo* has been obtained in a transgenic animal model in which dendritic cells can be physically deleted (Bou Nasser Eddine et al. 2017, *Oncoimmunology* e1261777). These results challenge the immunological dogma that dendritic cells are the exclusive cells capable of inducing T cell priming *in vivo*. The strategy of modifying tumor cells with CIITA has been now exported to clinical setting for the generation of a novel class of anti-tumor vaccines composed of a cocktail of tumor-specific peptides isolated from CIITA-induced MHC class II molecules on tumor cells (Hepavac Project, [www.hepavac.eu](http://www.hepavac.eu); Ramia et al., *Oncoimmunology*. 2019; 8(3): 1548243; Forlani et al., 2021, *Mol Cell Proteomics* 20: 100032)