

1   **ON-01, an engineered recombinant oncolytic herpes simplex virus type-1, in**  
2   **recurrent glioma: a single-arm, phase 1/2 study**

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32

33 **Abstract**

34 **Background:** The prognosis of patients with recurrent WHO grade 4 glioma is poor,  
35 particularly in glioblastoma (GBM), which has a median survival of approximately 6  
36 months and no effective treatment options. We evaluated the short-term (28-day) safety  
37 and efficacy of ON-01, an engineered recombinant oncolytic herpes simplex virus type-  
38 1, in patients with recurrent WHO grade 4 glioma.

39 **Methods:** In this single-arm, phase 1/2 clinical trial, eligible patients received  
40 intratumoral injections of ON-01 under stereotactic guidance. The primary endpoint  
41 was to assess the short-term safety profile of ON-01 treatment. Secondary endpoints  
42 included progression-free survival (PFS), overall survival (OS), and the 2-year OS rate.  
43 An exploratory objective was to identify tumor-related biomarkers predictive of  
44 treatment efficacy.

45 **Results:** Of the 30 patients treated with ON-01, 13 (43.3%) were male, and the median  
46 age was 50.0 years (range, 22–75). A total of 36 grade 1, 12 grade 2, and 2 grade 3  
47 adverse events were reported. Among all treated patients, the median OS was 12.0  
48 months (95% CI, 10.1–13.9), median PFS was 3.0 months (95% CI, 1.7–4.3), and 2-  
49 year OS rate was 27.7% (95% CI, 12.6%–45.0%). Seven patients with recurrent  
50 multifocal gliomas demonstrated regression of non-injection site lesions following ON-  
51 01 therapy. Furthermore, patients with elevated expression of herpesvirus entry  
52 mediator exhibited significantly prolonged survival ( $p=0.015$ ).

53 **Conclusions:** Intratumoral infusion of ON-01 appeared safe and demonstrated efficacy  
54 in patients with recurrent malignant glioma, with no evidence of neurotoxicity. The  
55 therapeutic response to ON-01 may be associated with HVEM expression levels.

56

57 **Keywords:** recurrent glioma; oncolytic virotherapy; herpes simplex virus; single-arm  
58 trial

59

60 **Key Points**

- 61 1. Intratumoral infusion of ON-01 confirmed the absence of neurovirulent potential.
- 62 2. ON-01 exhibited preliminary therapeutic efficacy in patients with recurrent WHO  
63 grade 4 glioma.
- 64 3. ON-01 enables targeted intracellular chemotherapy in GBM by converting 5-FC to  
65 5-FU.

66

67 **Importance of the Study**

68 This study evaluates ON-01, a genetically engineered oncolytic herpes simplex virus  
69 type-1 (HSV-1) designed to enhance tumor lysis and enable intracellular chemotherapy  
70 in patients with recurrent WHO grade 4 malignant gliomas. The results demonstrate a  
71 favorable short-term safety profile, with manageable adverse events and no significant  
72 virus-related neurotoxicity. ON-01 also exhibited preliminary therapeutic efficacy, with  
73 a median overall survival of 12.0 months and measurable responses, particularly in  
74 smaller tumors. Its dual mechanism—oncolytic virotherapy combined with prodrug  
75 activation—distinguishes ON-01 from existing therapies. These findings support ON-  
76 01 as a promising candidate for the treatment of recurrent WHO grade 4 glioma and  
77 provide important insights into the clinical development of oncolytic virus-based  
78 strategies for malignant gliomas. Larger, controlled trials are warranted to confirm its  
79 therapeutic potential and to further assess its impact on survival and treatment options  
80 for patients with inoperable or multifocal disease.

81

82 **Introduction**

83 Despite the establishment of standardized treatment protocols, the median survival time  
84 for newly diagnosed patients with WHO grade 4 malignant gliomas remains <15  
85 months.<sup>1,2</sup> Nearly all patients experience recurrence, with median survival after  
86 recurrence typically about 6 months<sup>3,4</sup> due to the lack of effective interventions.<sup>5</sup> The  
87 highly immunosuppressive tumor microenvironment and limited immune cell  
88 infiltration of glioblastoma (GBM) hinder the efficacy of immune checkpoint

89 inhibitors.<sup>6,7</sup> Therefore, patients with recurrent GBM urgently require more effective  
90 therapies to improve prognosis.

91 Oncolytic virotherapy is an emerging approach that selectively infects and destroys  
92 tumor cells while stimulating an antitumor immune response<sup>8</sup>. Multiple clinical trials<sup>9-</sup>  
93<sup>12</sup> are currently evaluating its efficacy and safety in recurrent gliomas. HSV-1 oncolytic  
94 viruses, such as G47Δ,<sup>13,14</sup> CAN-3110,<sup>15</sup> and G207,<sup>16</sup> have demonstrated potent direct  
95 tumor-killing effects. Their large genome allows genetic engineering to improve both  
96 safety and therapeutic efficacy.

97 ON-01 is an innovative recombinant oncolytic HSV-1 engineered through deletion  
98 of the neurotoxic gene *ICP34.5*<sup>17</sup> and the immune evasion gene *ICP47*<sup>18</sup>, combined  
99 with insertion of the *Escherichia coli* cytosine deaminase (CD) gene to enhance  
100 therapeutic activity.<sup>19,20</sup> Unlike G207 and G47Δ, ON-01 retains the *ICP6* gene,<sup>10,21,22</sup>  
101 ensuring effective replication. T-VEC, another *ICP6*-retained oncolytic HSV-1, is FDA-  
102 approved for treating malignant melanoma, supporting the safety of this approach.<sup>23,24</sup>  
103 ON-01 enters tumor cells via the herpesvirus entry mediator (HVEM),<sup>25,26</sup> directly  
104 lysing tumor cells while enhancing antitumor immunity. Additionally, the CD enzyme  
105 converts the non-toxic prodrug 5-fluorocytosine (5-FC) into the cytotoxic  
106 chemotherapy agent 5-fluorouracil (5-FU), enabling targeted intracellular  
107 chemotherapy for solid malignancies.

108 Here, we report the first single-arm, phase 1/2 clinical trial of ON-01 involving 30  
109 patients with recurrent WHO grade 4 glioma. This study assessed short-term safety and  
110 provided an initial evaluation of efficacy.

111

112 **Materials and Methods**

113 **Study design and participants**

114 From October 2018 to August 2022, adult patients with recurrent WHO grade 4  
115 gliomas—including IDH-wildtype GBM and IDH-mutant astrocytoma—were enrolled  
116 at the Department of Neurosurgery, Beijing Tiantan Hospital, and Beijing Electric  
117 Power Hospital. Tumor diameters ranged from 1 to 5 cm, with the largest lesion  
118 measured in patients with multifocal disease. Diagnoses were based on the 2021 5th  
119 edition of the World Health Organization Classification of Tumors of the Central  
120 Nervous System (WHO CNS 5). In patients with an initial diagnosis of WHO grade 4  
121 glioma, atypical MRI enhancement prompted the use of PET-CT to differentiate tumor  
122 recurrence from radiation necrosis.

123 This single-arm, phase 1/2 clinical trial was designed to evaluate the short-term (28  
124 days) safety and efficacy of ON-01 in patients with recurrent WHO grade 4 malignant  
125 gliomas. Written informed consent was obtained from all participants prior to  
126 enrollment, and the study protocol was approved by the Ethics Committee of Beijing  
127 Tiantan Hospital. The trial is registered with the Chinese Clinical Trial Registry  
128 (ChiCTR; registration number ChiCTR1900022570). A detailed experimental protocol  
129 is available in the supplementary materials.

130

131 **Sample Size Justification**

132 The sample size was determined based on the 6-month progression-free survival (PFS6)

133 rate (PMID: 17108063). Assuming a PFS6 rate of 15% under the null hypothesis ( $H_0$ ),  
134 based on historical controls for recurrent high-grade glioma, the study was designed to  
135 detect a clinically meaningful improvement to a target rate of 40% under the alternative  
136 hypothesis ( $H_1$ ). With a one-sided significance level of  $\alpha = 0.05$ , enrolling 30 patients  
137 provides over 85% power using an exact binomial test, accounting for a potential  
138 dropout rate of approximately 15%. Further details are provided in the experimental  
139 protocol.

140

#### 141 **Inclusion and exclusion criteria**

142 Eligible participants were adults aged 18–75 years who were able to provide voluntary  
143 written informed consent. Patients were required to have supratentorial high-grade  
144 glioma confirmed by intraoperative frozen pathology, along with radiologic evidence  
145 of tumor recurrence. The recurrent enhancing lesion was required to measure  $\geq 1$  cm  
146 and  $<5$  cm on MRI and/or PET-CT. In addition, patients needed to have a Karnofsky  
147 Performance Status (KPS) score greater than 60. Key exclusion criteria included  
148 inability to provide informed consent, pregnancy or lactation, and participation in  
149 another clinical trial within the previous 30 days. Patients with a history of encephalitis,  
150 multiple sclerosis, other central nervous system infections, or active oral herpetic  
151 lesions were also excluded. A complete list of inclusion and exclusion criteria can be  
152 found in the experimental protocol provided in the supplementary materials.

153

#### 154 **Procedures**

155 All injections were performed under stereotactic guidance. The planned trajectory  
156 targeted the tumor core while avoiding eloquent cortical and subcortical regions, major  
157 vessels, the ventricular system, and any prior surgical cavity. A stereotactic biopsy was  
158 first performed to confirm the nature of the enhancing lesion. After the biopsy was  
159 completed, the biopsy needle was withdrawn, and the injection cannula was introduced  
160 along the same trajectory and to the same depth. ON-01 delivery was conducted using  
161 a custom-designed injection device (Supplementary Figure 3) developed by our team.  
162 First, 1 mL of viral suspension was slowly loaded into the device's internal tubing (total  
163 internal volume approximately 3 mL). The syringe within the injection device  
164 (Supplementary Figure 3B) was then filled with 3–5 mL of normal saline, and the  
165 injection device was connected to the flow-control module. The flow-control device  
166 (Supplementary Figure 3C) was set to a rate of 3 mL/h to gradually advance the fluid  
167 column. When the air within the tubing had been nearly fully evacuated, the system  
168 was connected to the cannula, and viral infusion into the target site was initiated. At the  
169 end of the infusion, the flow-control device was stopped, and the cannula was left in  
170 place for 15 minutes to reduce potential backflow. The cannula was then withdrawn  
171 slowly, and the scalp was closed with a single suture. A CT scan was performed 6–8  
172 hours postoperatively to exclude hematoma. Beginning on postoperative day 1, patients  
173 received a 20-day course of oral 5-FC (100 mg/kg/day in four divided doses). No repeat  
174 intratumoral ON-01 injections were administered to the same lesion in any patient.

175 All patients were monitored for adverse events (AEs) for 28 days after ON-01  
176 administration. AEs were recorded and graded according to the National Cancer

177 Institute Common Terminology Criteria for Adverse Events (CTCAE), version 5.0.  
178 Serious AEs (SAEs) were reported to the Ethics Committee of Beijing Tiantan Hospital  
179 within 24 hours, and emergency measures were implemented immediately to ensure  
180 patient safety. Treatment interruption was performed as necessary.

181

182 **Tumor response assessment**

183 The study was originally designed to assess tumor response using RECIST criteria. For  
184 this revision, all imaging data were re-evaluated using the RANO criteria, which are  
185 more appropriate for high-grade gliomas. The final response results reported in the  
186 manuscript are based on the RANO criteria. Brain MRI was performed at baseline,  
187 every 1 month within a half-year, every 2 months thereafter, and at any time if clinical  
188 progression was suspected. Tumor response was assessed by the investigators,  
189 including a senior neurosurgeon and a neuroradiologist. The imaging and response  
190 evaluations were independently reviewed by five central experts who were not involved  
191 in the study. There was 100% agreement among the five independent central reviewers  
192 (Supplementary Figure 4). After two months or longer of oncolytic virus therapy,  
193 response criteria (CR, PR, SD, PD) were used to evaluate outcomes, and these results  
194 were included in the Results section (Table 1 and Supplementary Table 1). Suspected  
195 pseudo progression was monitored through subsequent MRI scan monthly and if  
196 necessary, PET-CT were performed to distinguish pseudo progression through  
197 monitoring metabolic activity levels of local lesions.

198

199 **Anti-edema management**

200 Postoperative anti-edema therapy was administered according to clinical symptoms and  
201 radiographic findings. Regimens included mannitol (250 mL twice daily for 3–10 days),  
202 dexamethasone (10 mg once daily for 3–10 days), and bevacizumab (2.5 mg/kg, 1 time,  
203 administered selectively to patients with severe cerebral edema). The detailed use of  
204 these agents is summarized in Supplementary Table 4.

205

206 **Immunohistochemistry**

207 Immunohistochemical analysis was performed on biopsy samples to evaluate HVEM  
208 expression. Samples with HVEM positivity <10% were classified as low expression,  
209 whereas those with positivity ≥10% were classified as high expression. HVEM  
210 expression for all available samples is shown in Supplementary Figure 1 and  
211 Supplementary Figure 2.

212

213 **TMB, MSI, POLE/D1 mutation**

214 Genomic DNA was extracted from frozen tumor samples and assessed for quantity and  
215 integrity using a Nanodrop spectrophotometer (Thermo Fisher Scientific, Wilmington,  
216 DE) and 1% agarose gel electrophoresis. Approximately 3 µg of DNA was fragmented  
217 to 150–220 bp using a Covaris sonicator, purified, end-repaired, and ligated with  
218 Agilent adapters (SureSelect Human All Exon v6, Agilent Technologies, USA).  
219 Libraries were PCR-amplified, hybridized with custom probes, washed, eluted, and  
220 sequenced on an IDNBSEQ-T7 platform to generate 150 bp paired-end reads. Whole-

221 exome sequencing and analysis were performed by OE Biotech Co., Ltd. (Shanghai,  
222 China). The somatic mutations including somatic single nucleotide variants (SNVs) and  
223 somatic INDELs were screened out using MuTect2. Variants with an alternate allele  
224 depth <10 or a variant allele frequency (VAF) <0.05 were excluded. Tumor mutational  
225 burden (TMB) was calculated based on the remaining somatic mutations. TMB =  
226 Number of nonsynonymous somatic mutations in the area of coding sequence  
227 (CDS)/Length of CDS. The hg19 CDS length used was 34.3944 Mb. Filtered somatic  
228 variants in VCF format were converted to MAF format, from which mutations in the  
229 POLE or POLD1 genes were extracted and defined as POLE/POLD1 mutations.  
230 Microsatellite instability (MSI) status was assessed using MSIsensor2, based on the  
231 pre-trained machine learning model models\_b37\_HumanG1Kv37.

232

### 233 **Outcomes**

234 The primary endpoint of this study was to evaluate the short-term (28-day) safety  
235 profile of ON-01 treatment. Secondary endpoints included progression-free survival  
236 (PFS), overall survival (OS), and the 2-year OS rate. An exploratory objective was to  
237 identify tumor-related biomarkers predictive of efficacy.

238

### 239 **Statistical analysis**

240 All statistical analyses were conducted using SPSS (version 17.0). OS was defined as  
241 the time from ON-01 injection to death or last follow-up. Continuous variables were  
242 expressed as mean (SD) for normally distributed data, and categorical variables were

243 presented as percentages. Survival outcomes were estimated with the Kaplan–Meier  
244 method, and group differences were assessed with the log-rank test. All *P* values were  
245 two-sided, with *P* < 0.05 considered statistically significant.

246

## 247 **Results**

### 248 **Patient characteristics**

249 A total of 30 adult patients were enrolled to receive ON-01 treatment (Figure 1). The  
250 study population included 13 males (43.3%) and 17 females (56.7%), with a median  
251 age of 50.0 years (range, 22–75 years). Among them, 13 patients (43.3%) presented  
252 with single lesions and 17 patients (56.7%) with multifocal lesions. The inclusion  
253 criteria were expanded to allow patients with larger tumor diameters, ranging from 1 to  
254 5 cm. The baseline characteristics of the patients are summarized in Table 1, and  
255 detailed information for each patient is provided in Supplementary Table 1. Of all  
256 patients, 6 underwent surgical resection due to tumor progression; 7 received  
257 temozolomide chemotherapy; 1 was treated with nivolumab; and 1 underwent Gamma  
258 Knife radiosurgery. Six patients underwent a second oncolytic virus injection. For  
259 Patients 1, 5, and 15, the second injection targeted recurrent lesions, whereas for  
260 Patients 13, 14, and 27, it targeted newly developed lesions. Injection sites varied  
261 according to lesion location, and surgical access was selected to ensure accurate  
262 targeting of each lesion (Supplementary Table 7). In the tumor tissues available for  
263 analysis, 8 patients (26.7%) showed HVEM expression >10%. MGMT promoter  
264 methylation was observed in 11 patients (36.7%), while 18 patients (60.0%) were

265 unmethylated. Regarding tumor mutational burden (TMB), 14 patients (46.7%)  
266 exhibited high TMB ( $\geq 10$  mut/Mb), and 15 patients (50.0%) had low TMB ( $< 10$   
267 mut/Mb). For microsatellite instability (MSI) status, no patient was MSI-high, whereas  
268 29 patients (96.7%) were microsatellite stable (MSS). Analysis of POLE/D1 status  
269 revealed that 3 patients (10.0%) carried mutations, and 26 patients (86.7%) were wild  
270 type.

271

## 272 **Safety**

273 The safety profile of ON-01 intratumoral injection is summarized in Table 2. Treatment-  
274 related AEs were predominantly mild to moderate, with the most common being  
275 hyponatremia (33.3%), hypokalemia (33.3%), fever (20.0%), and anemia (20.0%).  
276 Grade 3 AEs occurred in two patients (6.67%): one case of pyramidal tract syndrome  
277 and one case of hyponatremia, both of which resolved with appropriate management.  
278 The patient with hyponatremia achieved electrolyte normalization before discharge,  
279 while the patient with pyramidal tract syndrome, who had recurrence in the right frontal  
280 lobe and basal ganglia, presented with left upper limb spasticity and muscle weakness  
281 but showed marked improvement within one week. No grade 4 or 5 AEs were observed  
282 during the study period. These findings indicate that ON-01 intratumoral injection has  
283 a favorable short-term (28-day) safety profile, with all AEs being clinically manageable  
284 and no severe or life-threatening complications reported.

285

## 286 **Clinical and imaging outcomes**

287 The median PFS among patients treated with ON-01 was 3.0 months (95% CI, 1.7–4.3)  
288 (Figure 1A), and the median OS was 12.0 months (95% CI, 10.1–13.9) (Figure 2B).  
289 The 2-year OS rate was 27.7% (95% CI, 12.6%–45.0%) (Figure 2B). Among the  
290 patients treated with ON-01, 2 patients (6.7%) achieved a complete response (CR), 6  
291 patients (20.0%) achieved a partial response (PR), 13 patients (43.3%) had stable  
292 disease (SD), and 9 patients (30.0%) experienced progressive disease (PD). In a  
293 representative case (patient #3), a left thalamic glioma recurred 7 months after resection  
294 and was treated with ON-01 injection. Serial T1-weighted MRI demonstrated  
295 progressive shrinkage of the recurrent lesion, with near-complete resolution observed  
296 at the 18-month follow-up (Figure 3A, red arrow). However, a new lesion distant from  
297 the injection site appeared at 32 months (blue arrow), ultimately leading to patient death.  
298 Notably, 7 of 17 patients (41.2%) with multifocal lesions exhibited partial regression at  
299 both injected and non-injected sites within 2 months of ON-01 therapy. Representative  
300 examples of three typical cases are presented in Figure 3B. These findings suggest that  
301 ON-01 exerts both local oncolytic effects and potential systemic antitumor activity.  
302

### 303 **Subgroup survival analyses**

304 To evaluate the efficacy of ON-01 across different patient populations, we conducted  
305 stratified analyses based on clinical characteristics, demographic factors, and treatment  
306 response profiles to identify potential subgroups with differential outcomes (Figure 4  
307 and Supplementary Table 2). Recurrent grade 4 glioma with high HVEM expression  
308 demonstrated greater sensitivity to ON-01 therapy ( $p=0.015$ , 30.5 [26.7–34.3] vs. 10.0

309 [7.3-12.7] months), and therapeutic efficacy was higher in tumors measuring 1 to 3 cm  
310 in diameter ( $p=0.007$ , 18.5 [7.8-29.2] vs. 8.0 [3.7-12.3] months). No statistically  
311 significant difference in efficacy was observed in IDH1 status ( $p=0.759$ ), MGMT  
312 promotor status ( $p=0.185$ ), TMB ( $p=0.549$ ) and POLE/D1 status ( $p=0.889$ ).

313

314 **Discussion**

315 Oncolytic viruses have emerged as a novel therapeutic approach for malignant tumors  
316 following CAR-T therapy<sup>27</sup> and targeted agents<sup>28</sup>, characterized by their dual  
317 mechanisms of tumor lysis and immune activation. In addition to these properties, ON-  
318 01, the oncolytic virus we developed, also demonstrates the ability to induce  
319 intracellular chemotherapy.<sup>19</sup>

320 This single-arm, phase 1/2 clinical trial evaluated the safety and efficacy of ON-01  
321 in 30 patients with recurrent WHO grade 4 malignant gliomas. The results demonstrated  
322 a favorable short-term (28-day) safety profile with excellent patient tolerance. Most  
323 patients experienced only grade 1 AEs, and no significant virus-related neurotoxicity  
324 was observed. Among those treated with ON-01, the trial revealed a median OS of 12.0  
325 months following recurrence. At the time of this manuscript submission, two patients  
326 (Patients 17 and 19) had achieved remarkable long-term survival, exceeding 60 months  
327 after ON-01 injection. Both were IDH1 wild-type and MGMT-methylated, and their  
328 detailed characteristics are provided in Supplementary Table 6.

329 The use of adjunctive anti-edema agents, particularly corticosteroids, mannitol, and  
330 bevacizumab, may confound both radiographic and clinical outcome assessments in

331 patients with recurrent malignant gliomas. Bevacizumab, in particular, has been  
332 associated with rapid reductions in contrast enhancement on MRI, raising the  
333 possibility of a “pseudo-response.” In our cohort, 29 patients (96.7%) received  
334 corticosteroids, 24 (80.0%) received mannitol, and 8 (26.7%) received bevacizumab  
335 after surgery and ON-01 injection. Bevacizumab was administered selectively to  
336 patients with severe cerebral edema or disease progression at a dose of 2.5 mg/kg (1  
337 time). To address this potential confounder, we examined the relationship between the  
338 administration of these agents and OS but found no statistically significant associations  
339 (mannitol,  $p=0.820$ ; dexamethasone,  $p=0.890$ ; bevacizumab,  $p=0.240$ ). Furthermore, to  
340 minimize the risk of misinterpreting pseudo-response or pseudo-progression, we  
341 performed monthly follow-up MRI scans to continuously assess tumor size. Although  
342 these findings suggest that adjunctive therapies did not significantly influence survival  
343 outcomes in this study, we acknowledge that their use, particularly bevacizumab, may  
344 still complicate radiographic interpretation and represents an important limitation in  
345 evaluating therapeutic response.

346 Among all patients in this study, only Patient 19 was suspected to have pseudo-  
347 progression. In this patient, the oncolytic lesion (left temporal) showed a slight increase  
348 in size within 4 months after injection. After dexamethasone administration, the lesion  
349 gradually shrank and nearly resolved completely. Although the incidence was low, this  
350 case highlights the importance of careful imaging follow-up and timely intervention to  
351 differentiate true tumor progression from treatment-related effects.

352 In ON-01, the neurotoxic *ICP34.5* and immune evasion *ICP47* genes were deleted.

353 These genetic modifications resulted in significantly reduced neurotoxicity and  
354 enhanced immune-mediated viral clearance, with no virus-associated neurotoxicity  
355 observed among the 30 treated patients. The most common AEs included electrolyte  
356 disturbances and anemia, which appeared to be primarily related to cancer metabolism  
357 rather than viral therapy and were effectively managed with standard clinical  
358 interventions, and no long-term complications were reported. Other transient adverse  
359 reactions, including flushing (10%), fever (20%), thrombocytopenia (6.7%), and  
360 leukopenia (3.3%), were successfully resolved with symptomatic treatment.  
361 Neurological complications, including delirium and seizures (3.3% each), were  
362 associated with tumor recurrence and stereotactic surgical procedures<sup>29</sup> and resolved  
363 following appropriate therapeutic interventions. Grade 3 AEs were uncommon (6.7%)  
364 and consisted of one case of pyramidal tract signs and one case of hyponatremia, both  
365 likely procedure-related. These findings suggest that ON-01 represents a promising  
366 therapeutic option for recurrent malignant gliomas, with a safety profile characterized  
367 by the absence of significant virus-related neurotoxicity and manageable treatment-  
368 associated AEs.

369 The design of ON-01 was specifically tailored to address the aggressive nature and  
370 limited treatment options for malignant gliomas. Unlike conventional approaches, such  
371 as those used in G47Δ and CAN-311 modifications, ON-01 retains the *ICP6* gene to  
372 enhance viral replication efficiency within tumor cells. Additionally, incorporation of a  
373 *CD* gene enables the enzymatic conversion of the prodrug 5-FC into the cytotoxic agent  
374 5-FU, disrupting tumor DNA synthesis. This innovative design facilitates synergistic

375 oncolysis through intracellular chemotherapy. Preclinical investigations further  
376 revealed that HSV-1-mediated downregulation of dihydropyrimidine dehydrogenase  
377 (DPD) sustains therapeutic concentrations of 5-FU within tumor cells, prolonging its  
378 cytotoxic effects. This unique combination of enhanced viral replication, prodrug  
379 activation, and metabolic modulation distinguishes ON-01 from other oncolytic virus  
380 platforms and may contribute to its potential therapeutic efficacy against recurrent  
381 Grade 4 malignant glioma (IDH-wt and IDH-mutated).

382 The randomized, open-label phase 2/3 trial of Tocagen 511<sup>30</sup> reported a median OS  
383 of 11.1 months, in which patients underwent tumor resection followed by Toca 511. In  
384 our non-randomized, open-label phase 1/2 trial, we employed a distinct approach with  
385 direct intratumoral injection of ON-01 and no surgical resection at the beginning of  
386 enrollment, which resulted in a median OS of 12.0 months. The fundamental distinction  
387 between ON-01 and Toca 511 lies in their viral vector characteristics. ON-01, based on  
388 an HSV-1 backbone, demonstrates inherent oncolytic activity, as evidenced by in vitro  
389 experiments: even without the *CD* gene, the parental oHSV-1 vector achieves an IC<sub>50</sub>  
390 of 0.6 MOI against U87 glioma cells.<sup>20</sup> In contrast, Toca 511 is a nonlytic retroviral  
391 replicating vector. The vector of Tocagen 511 without the *CD* gene (AC3-GFP) shows  
392 negligible direct cytotoxic effects on U87 cells.<sup>31</sup> Preclinical investigations further  
393 revealed that HSV-1-mediated downregulation of DPD sustains therapeutic  
394 concentrations of 5-FU within tumor cells, prolonging its cytotoxic effects.<sup>19</sup> This  
395 combination of enhanced viral replication, prodrug activation, and metabolic  
396 modulation distinguishes ON-01 from other oncolytic virus platforms and supports its

397 potential success in future phase 2/3 clinical trials.

398 In this study, we employed stereotactic techniques to administer a single injection of  
399  $10^8$  pfu of ON-01, utilizing a relatively low dose and frequency without repeated  
400 injections into the same recurrent lesion. Even at this low dosage, ON-01 demonstrated  
401 significant antitumor efficacy, with some patients experiencing substantial tumor  
402 volume reduction or complete tumor disappearance. As a novel aspect, this study is the  
403 first to include patients with multifocal recurrent gliomas. The results revealed that ON-  
404 01 not only exhibited therapeutic effects at the injection site but also induced tumor  
405 shrinkage or disappearance in distant, non-injected lesions. Regarding enrollment  
406 criteria, we expanded the tumor diameter range to 1–5 cm; however, survival analysis  
407 indicated that ON-01 was particularly effective for small tumors measuring 1–3 cm,  
408 with a median survival of 20 months. This finding suggests that oncolytic virotherapy  
409 may be especially suitable for patients with small, functionally located, inoperable  
410 tumors, offering a new therapeutic option for this population.

411 IDH serves as a crucial molecular marker for predicting the prognosis of patients  
412 with CNS WHO grade 4 glioma.<sup>32</sup> Typically, patients with IDH-mutant astrocytoma  
413 exhibit significantly better prognosis than those with IDH-wildtype GBM from the time  
414 of initial diagnosis. However, in our study of recurrent patients treated with ON-01, no  
415 significant difference in OS was observed between IDH-mutant and wildtype ( $p=0.759$ ;  
416 12.0 [6.6–17.4] vs. 12.5 [9.1–15.9] months). These findings are consistent with  
417 previous reports indicating that IDH1 mutation status does not significantly impact  
418 survival outcomes in patients with recurrent high-grade glioma.<sup>33</sup> Similarly, other

419 oncolytic virus clinical trials have also shown that IDH1 status is not associated with  
420 OS.<sup>14,34</sup> These findings indicate that IDH mutation is associated with longer survival  
421 from the time of diagnosis, but no difference is observed after tumor recurrence.

422 Through further subgroup analysis, we identified another key prognostic indicator—  
423 HVEM. The data demonstrated that HVEM-positive patients had significantly longer  
424 survival, a phenomenon potentially related to the mechanism of action of oncolytic  
425 viruses. Specifically, as the primary entry receptor for HSV-1, high expression of  
426 HVEM in tumor tissues facilitates more efficient viral entry into tumor cells. In contrast,  
427 IDH mutation status does not influence the ability of oncolytic viruses to enter tumor  
428 cells, thus failing to result in significant differences in patient survival. This important  
429 finding suggests that HVEM may serve as a clinically valuable biological marker for  
430 future ON-01 therapy, particularly in guiding HSV-1-based oncolytic viral treatment  
431 strategies.

432 This study enrolled patients with multifocal intracranial tumors to investigate the  
433 effects of oncolytic virus therapy. The results demonstrated that ON-01 not only  
434 induced significant oncolytic responses at the injection sites but also led to volume  
435 reduction or even complete disappearance of tumors at distant locations. However, the  
436 study did not explore systemic and local immune responses in depth, as only  
437 preliminary foundational research was conducted. In subsequent clinical studies, we  
438 plan to incorporate analyses of immune-related indicators to further elucidate the  
439 underlying mechanisms.

440 Regarding the relationship between the efficacy of ON-01 and molecular

441 pathological IDH subtypes, there was no significant correlation. However, only five  
442 patients with IDH mutations were included, resulting in a relatively small sample size.  
443 Future studies should expand the cohort of IDH-mutant patients to further validate the  
444 reliability of this conclusion.

445 The 28-day monitoring period for AEs in this study may be inadequate to fully  
446 capture ON-01-related delayed toxicities or cumulative effects, particularly in long-  
447 term survivors. We will implement more comprehensive long-term safety monitoring  
448 in subsequent studies to address this limitation.

449 In terms of study design, as a phase 1/2 clinical trial, this study primarily focused on  
450 short-term safety assessment and preliminary efficacy observation and therefore did not  
451 adopt a randomized controlled design. This decision was based on two considerations:  
452 first, the use of stereotactic injection, an invasive procedure, made it technically  
453 challenging to implement a double-blind design; second, from an ethical perspective,  
454 administering placebo injections would not align with medical ethical principles. These  
455 limitations will be addressed in subsequent larger-scale clinical trials.

456 In conclusion, the results demonstrated a favorable short-term safety profile of ON-  
457 01 intratumoral injection and provides preliminary evidence of its efficacy in patients  
458 with recurrent WHO grade 4 malignant gliomas.

459

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465 trial.

466

467 **Conflict of Interest**

468 None declared.

469

470 **Authorship Statement**

471 FSL and JWZ designed the study. WZ curated the data. PWW and SF performed the  
472 formal analysis. FSL acquired funding. FSL and WZ contributed to methodology. FSL  
473 and JWZ were responsible for project administration. SQG developed the software to  
474 aid data collection. WZ, PWW, and SF contributed to data visualization and drafted the  
475 original manuscript. WFJ, SRP, MYW, XYQ, WXZ, JJG, XDS, GQY, JKW, YDL,  
476 YWW, HCL, RM, FW, QC, GSJ, and FGM were involved in data investigation. All  
477 authors reviewed, edited, and approved the final manuscript. SQG performed the  
478 statistical analysis and directly verified the underlying data in the manuscript. All  
479 authors had full access to all the data in the manuscript. FSL had final responsibility for  
480 the decision to submit for publication.

481

482 **Data Availability**

483 Access to the data will be granted upon submission of a formal application, including  
484 a study proposal, to the study's steering committee through the corresponding authors

485 of this Article. Data sharing is contingent upon approval by both the steering committee  
486 and the institutional review board.

487

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586

587 **Figure 1. Trial profile.**

588 <sup>a</sup>Patient No.9 was lost to follow-up in the fifth month. <sup>b</sup>Patient No.4 passed away due  
589 to pneumonia in the fourth month and patient No.5 passed away due to a  
590 cerebrovascular accident in the seventeenth month.

591

592 **Figure 2. Survival outcomes in patients treated with ON-01.**

593 (A) Progression-free survival in the population treated with ON-01. (B) Overall  
594 survival (OS) in all patients treated with ON-01. Shaded areas indicate 95% CIs. Black

595 Crosses denote censored patients, while red Crosses denote patients alive.

596

597 **Figure 3. Summary of radiological responses in patients treated with ON-01.**

598 (A) T1-weighted MRI images at the indicated observation time points for Patient No.  
599 3. Following surgical resection of a left thalamic glioma, the patient experienced  
600 recurrence after 7 months and subsequently received an ON-01 injection (Figure 3A,  
601 red arrow). Serial follow-up MRI examinations demonstrated progressive regression of  
602 the recurrent lesion following ON-01 therapy, with near-complete resolution observed  
603 at the 18-month follow-up. Subsequent imaging at 32 months post-treatment identified  
604 a new lesion at a site distal to the initial ON-01 injection site (Figure 3A, blue arrow),  
605 which ultimately led to patient mortality. (B) T1-weighted MRI images at the indicated  
606 observation time points for three patients with multifocal lesions. All three patients  
607 demonstrated varying degrees of regression at both injected and non-injected lesion  
608 sites within 2 months of ON-01 therapy (Figure 3B; injection sites: red arrows, non-  
609 injected lesions: yellow arrows). mo, months; wk, weeks.

610

611 **Figure 4. Univariate survival analysis of patients in different groups.**

612 (A) OS of patients with different HVEM expression levels. (B) OS of patients grouped  
613 by tumor diameter. (C) OS of patients based on detectable IDH1 status. (D) OS of  
614 patients based on MGMT promotor status. (E) OS of patients with either single or  
615 multifocal lesions. Crosses denote censored patients.

616

617 **Table 1. Baseline characteristics for all patients.**

618 **Abbreviations:** KPS, karnofsky performance status; IDH1, isocitrate dehydrogenase 1;  
619 HVEM, herpesvirus Entry Mediator; PD-1, programmed death receptor 1; MGMT, O<sup>6</sup>-  
620 methylguanine-DNA methyltransferase; TMB, tumor mutational burden; MSI,  
621 microsatellite Instability; MSS, microsatellite stable; CR, complete response; PR,  
622 partial response; SD, stable disease; PD, progressive disease.

623

624 **Table 2. Adverse Events, According to Grade, in the 30 Patients.**

**Table 1. Baseline characteristics for all patients.**

Clinical characteristics	No. of patients (%)
Gender	
Male	13 (43.3)
Female	17 (56.7)
Age at ON-01 initiation, median (range), yrs	50.0 (22–75)
KPS score, median (range)	80 (60–100)
Number of lesions	
Single	13 (43.3)
Multiple	17 (56.7)
IDH1 status	
Mutant	5 (16.7)
Wild type	24 (80.0)
N/A	1 (3.3)
HVEM expression	
Positive	8 (26.7)
Negative	19 (63.3)
N/A	3 (10.0)
MGMT promotor status	
Methylated	11 (36.7)
Unmethylated	18 (60.0)
N/A	1 (3.3)
TMB	
High ( $\geq 10$ mut/Mb)	14 (46.7)
Low ( $<10$ mut/Mb)	15 (50.0)
NA	1 (3.3)
MSI status	
MSI-high	0 (0)
MSS	29 (96.7)
NA	1 (3.3)
POLE/D1 status	
Mutant	3 (10.0)
Wild type	26 (86.7)
N/A	1 (3.3)
Response to treatment	
CR	2 (6.7)
PR	6 (20.0)
PD	9 (30.0)
SD	13 (43.3)
Retreatment of recurrence post ON-01 injection	
ON-01 re-injection	6 (20.0)
Temozolomide	7 (23.3)
Anti-PD-1 antibody	1 (3.3)
Gamma Knife	1 (3.3)

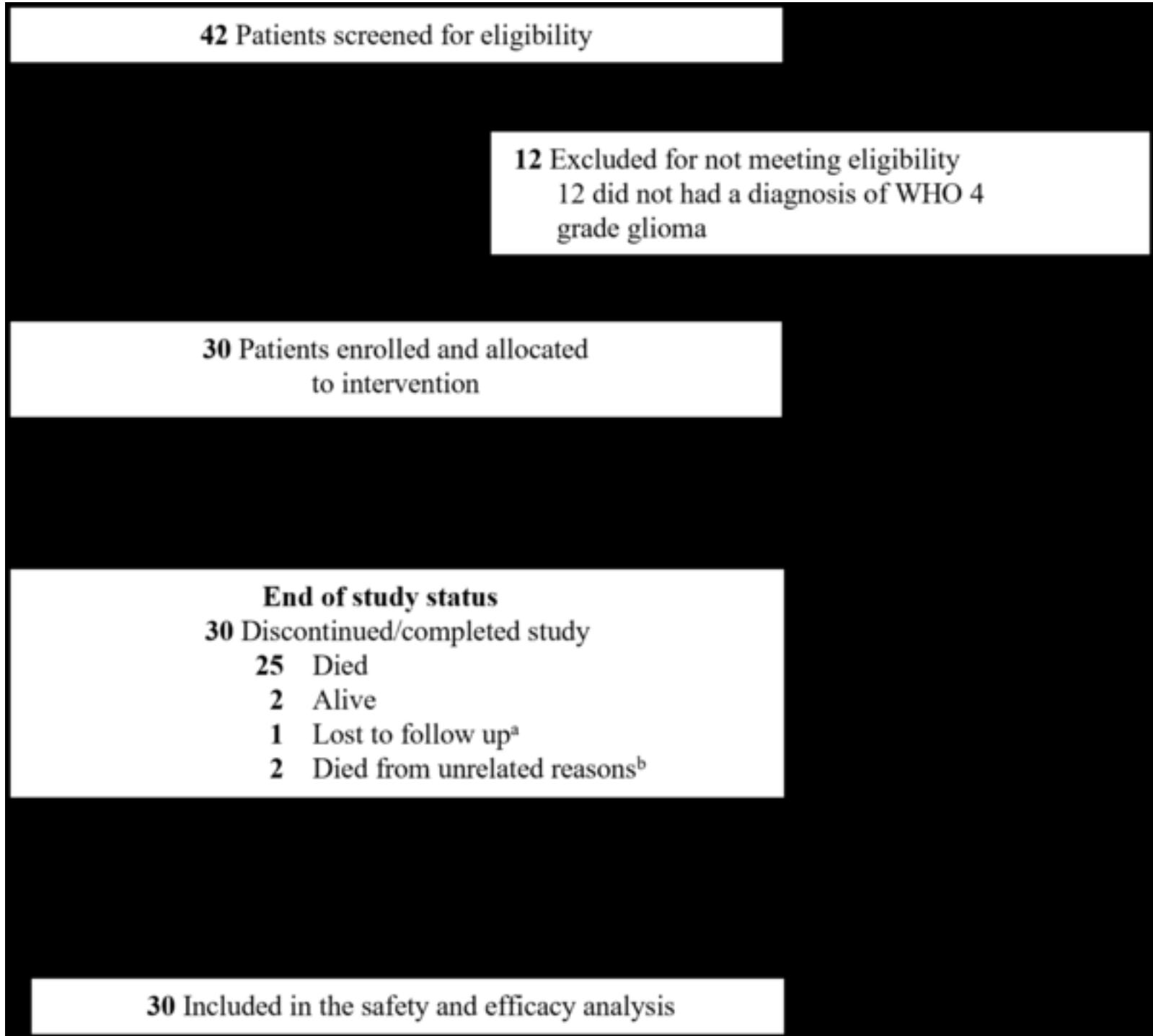
Re-operation

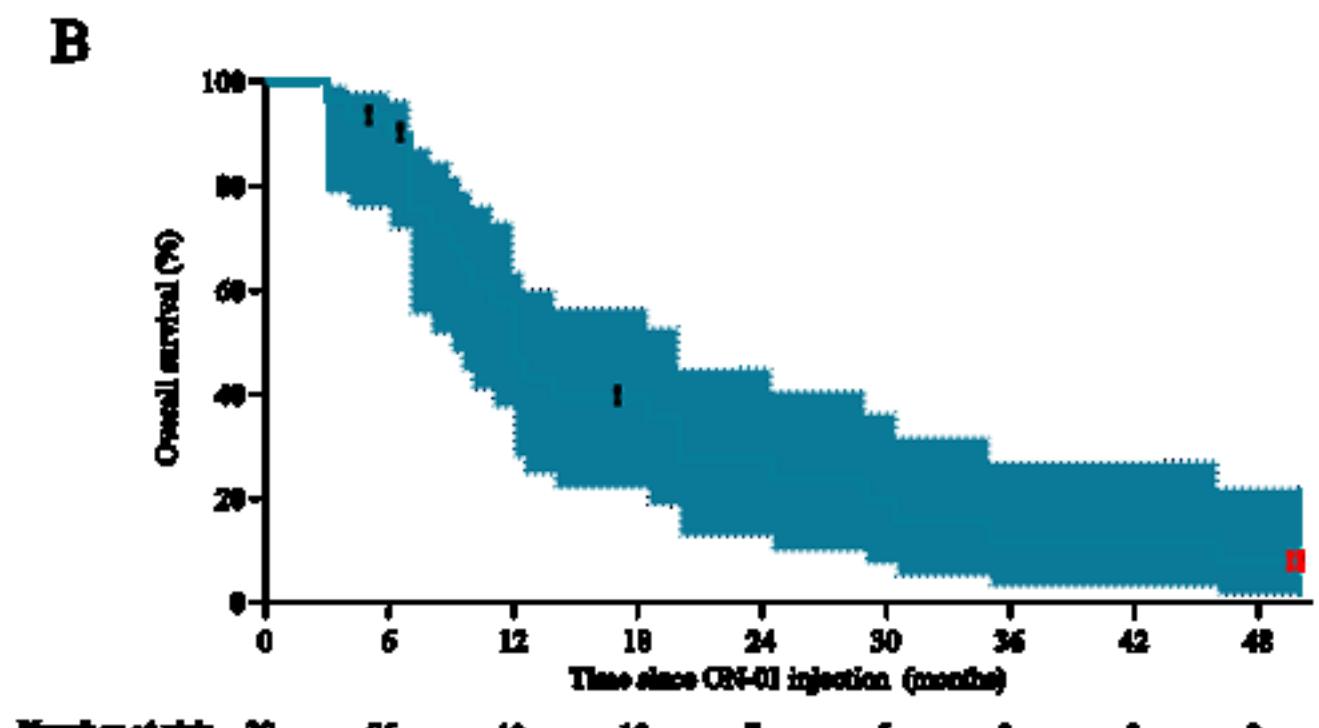
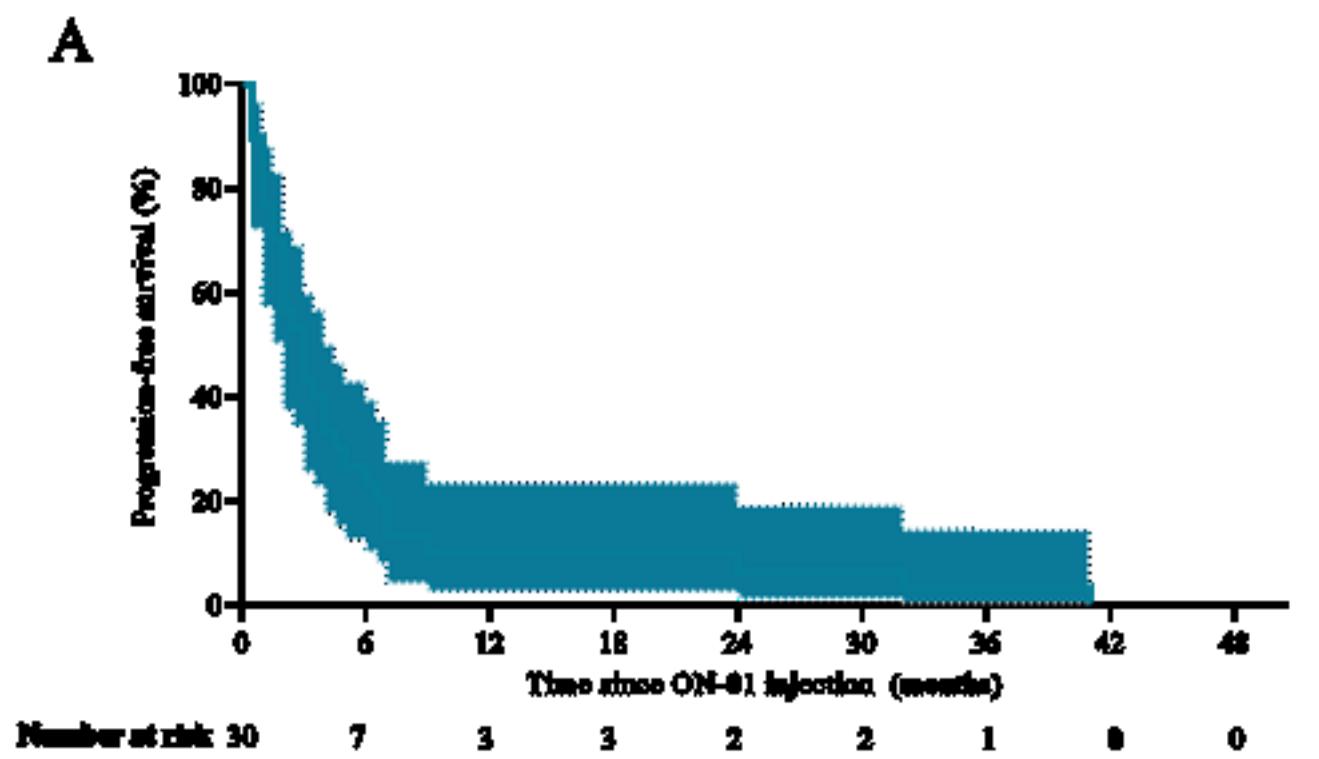
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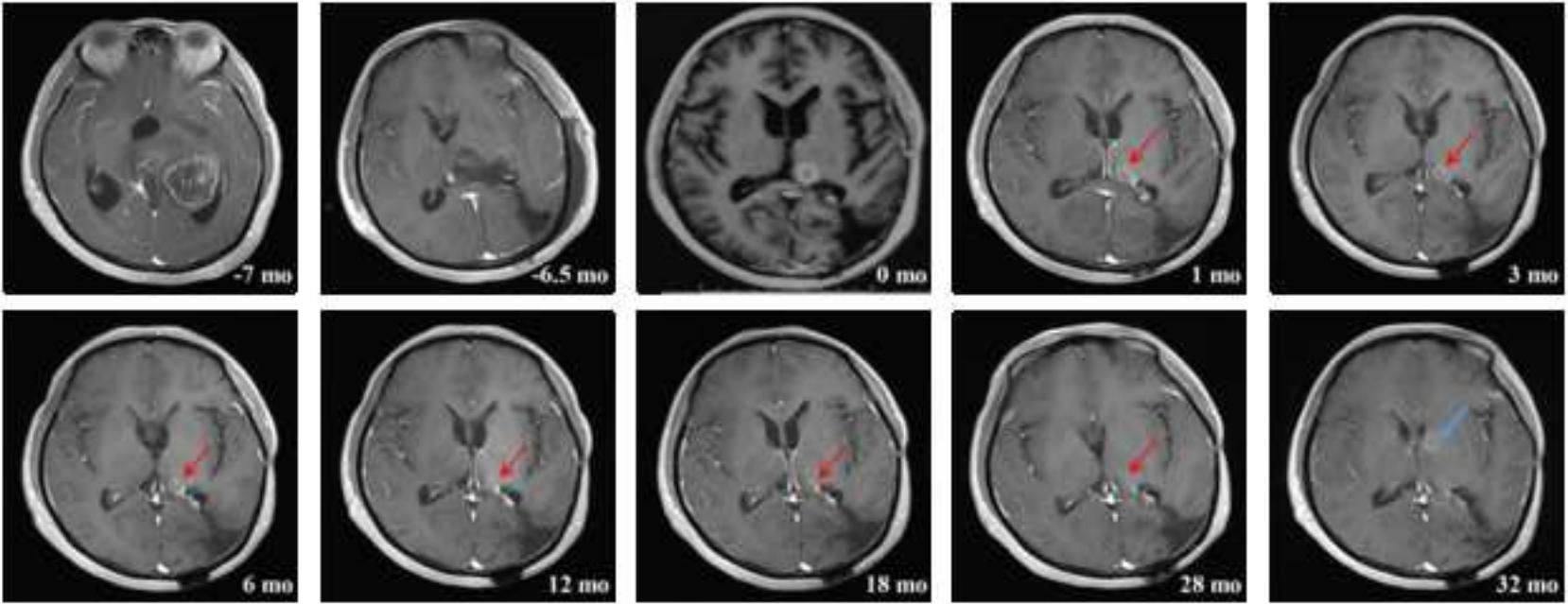
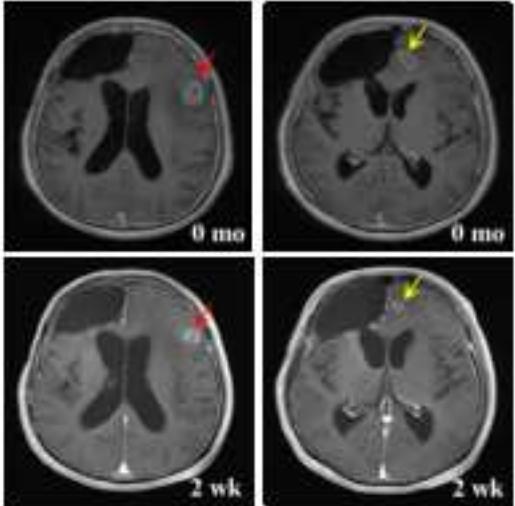
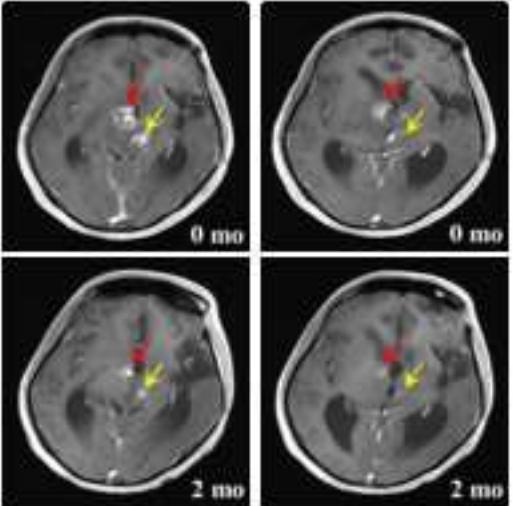
**Table 2. Adverse Events, According to Grade, in the 30 Patients.**

Adverse Event, n (%)	Grade 1	Grade 2	Grade 3	Grade 4	Grade 5
General disorder					
Fever	5 (16.7%)	1 (3.3 %)	/	/	/
Gastrointestinal disorder					
Nausea	1 (3.3 %)	/	/	/	/
Vascular disorder					
Flushing	3 (10.0%)	/	/	/	/
Cardiac disorder					
Ventricular Arrhythmia	1 (3.3%)	/	/	/	/
Nervous system disorders					
Seizure	/	1 (3.3%)	/	/	/
Pyramidal tract syndrome	/	/	1 (3.3%)	/	/
Psychiatric disorder					
Delirium	/	2 (6.7%)	/	/	/
Blood and lymphatic system disorder					
Anemia	5 (16.7%)	1 (3.3 %)	/	/	/
White blood cell count decreased	1 (3.3 %)	/	/	/	/
Platelet count decreased	2 (6.7%)	/	/	/	/
Metabolism and nutrition disorder					
Hyponatremia	7 (23.3%)	2 (6.7%)	1 (3.3 %)	/	/
Hypokalemia	8 (26.7%)	2 (6.7%)	/	/	/
Hypocalcemia	3 (10.0%)	3 (10.0%)	/	/	/





Total No. of Patients	No. of Deaths	Median Survival (95% CI)	Survival Rate% (95% CI)					
			6 mo	12 mo	18 mo	24 mo	30 mo	36 mo
30	25	12.6 mo (9.1-16.3)	89.9 (71.8-96.6)	46.7 (37.8-63.6)	29.5 (21.9-56.9)	27.7 (12.6-45.6)	19.4 (7.4-36.5)	11.9 (3.0-27.3)

**A****Patient No.3****B****Patient No.4****Patient No.13****Patient No.27**